

Organisational Assurance Calendar

FUTURE External & Internal Assurance Activities 2015 - 16 & 2016 - 17

Inspecting Bodies:
HMIC Inspection
HMIC Report expected
External Audit
Internal Audit
Peer Review
Intelligence Analysis
Risk & Assurance Unit
Information Management
Nexus
Business Continuity
Business Planning

The Inspections on this calendar are the ones where are have been given an estimated or confirmed date, by the inspecting body.

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	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr					
	30	31	1	2	3	6	7	8	9	10	13	14	15	16	17	20	21	22	23	24	27	28	29	30	1
Jun-16						HMIC PEEL 16/17 Efficiency & Legitimacy (inc Leadership) Hot Debrief															HMIC PEEL 16/17 Efficiency & Legitimacy (inc Leadership) Hot Debrief - Risk Assessment				
	Quality of Victims Services Pre-Inspection (proposed)																								
											HR Training														
											Network Security														
						Monthly Incident to Crime Audit (NSIR)					Monthly Incident to Crime Audit (NSIR)														
						Bi-Annual Outcomes Audit					Bi-Annual Outcomes Audit					Bi-Annual Outcomes Audit									
	Sexual Offences Audit					Sexual Offences Audit					Sexual Offences Audit					Sexual Offences Audit						Sexual Offences Audit			
						Fortnightly N100 incident Audit										Fortnightly N100 incident Audit									
	Bi-Annual Cancelled Crimes Audit					Bi-Annual Cancelled Crimes Audit					Bi-Annual Crime Related Incident Audit					Bi-Annual Crime Related Incident Audit						Bi-Annual Crime Related Incident Audit			
	Child abuse (including CSE) and Neglect					Child abuse (including CSE) and Neglect					Child abuse (including CSE) and Neglect					Child abuse (including CSE) and Neglect						Child abuse (including CSE) and Neglect			
	Benefit Review of Iken Legal Case Management System (requested for April 2016)																								
						Exhibits Management (Internal Transfer to Specialist Functions) Inspection					Exhibits Management (Internal Transfer to Specialist Functions) Inspection					Exhibits Management (Internal Transfer to Specialist Functions) Inspection						Exhibits Management (Internal Transfer to Specialist Functions) Inspection			
	Risk Register BAU Health Checks					Risk Register BAU Health Checks					Risk Register BAU Health Checks					Risk Register BAU Health Checks						Risk Register BAU Health Checks			
	Business Continuity Plan Reviews					Business Continuity Plan Reviews					Business Continuity Plan Reviews					Business Continuity Plan Reviews						Business Continuity Plan Reviews			
Final Accounts					Final Accounts					Final Accounts					Final Accounts						Final Accounts				
																					Quarterly Outcomes Review – Community Outcomes CPDSB 28.06.16				
	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr					
	4	5	6	7	8	11	12	13	14	15	18	19	20	21	22	25	26	27	28	29					
Jul-16	HMIC Additional Data Ref PEEL Legitimacy (Officers inappropriate relationships with victims of Domestic Abuse)																								
	HMIC Force Management Statement (anticipated July or August)					HMIC Force Management Statement (anticipated July or August)					HMIC Force Management Statement (anticipated July or August)					HMIC Force Management Statement (anticipated July or August)									
	HMRC Final Audit Report (anticipated July)					HMRC Final Audit Report (anticipated July)					HMRC Final Audit Report (anticipated July)					HMRC Final Audit Report (anticipated July)									
	Follow Up (1) 16/17																								
	Monthly Incident to Crime Audit (NSIR)					Monthly Incident to Crime Audit (NSIR)																			
	Bi-Annual Violent Crime Audit					Bi-Annual Violent Crime Audit					Bi-Annual Violent Crime Audit														
	Sexual Offences Audit					Sexual Offences Audit					Sexual Offences Audit					Sexual Offences Audit						Sexual Offences Audit			
	Fortnightly N100 incident Audit										Fortnightly N100 incident Audit														
	Child abuse (including CSE) and Neglect					Child abuse (including CSE) and Neglect					Child abuse (including CSE) and Neglect					Child abuse (including CSE) and Neglect						Child abuse (including CSE) and Neglect			
	Joint Strategic Intelligence Assessment					Joint Strategic Intelligence Assessment					Joint Strategic Intelligence Assessment					Joint Strategic Intelligence Assessment						Joint Strategic Intelligence Assessment			
	Mental Health intervention (UoY)					Mental Health intervention (UoY)					Mental Health intervention (UoY)					Mental Health intervention (UoY)						Mental Health intervention (UoY)			
	Exhibits Management (Internal Transfer to Specialist Functions) Inspection					Exhibits Management (Internal Transfer to Specialist Functions) Inspection					Exhibits Management (Internal Transfer to Specialist Functions) Inspection					Exhibits Management (Internal Transfer to Specialist Functions) Inspection						Exhibits Management (Internal Transfer to Specialist Functions) Inspection			
	Risk Register BAU Health Checks					Risk Register BAU Health Checks					Risk Register BAU Health Checks					Risk Register BAU Health Checks						Risk Register BAU Health Checks			
	Business Continuity Plan Reviews					Business Continuity Plan Reviews					Business Continuity Plan Reviews					Business Continuity Plan Reviews						Business Continuity Plan Reviews			
																					Thematic – Priority 3: Focus on Prevention & Early Intervention CPDSB 26.07.16				

	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr
	1	2	3	4	5	8	9	10	11	12	15	16	17	18	19	22	23	24	25	26	29	30	31	1	2
Aug-16	Monthly Incident to Crime Audit (NSIR)					Monthly Incident to Crime Audit (NSIR)																			
						Bi-Annual PSW Audit					Bi-Annual PSW Audit					Bi-Annual PSW Audit									
	Sexual Offences Audit					Sexual Offences Audit					Sexual Offences Audit					Sexual Offences Audit					Sexual Offences Audit				
	Fortnightly N100 incident Audit										Fortnightly N100 incident Audit														
	Child abuse (including CSE) and Neglect					Child abuse (including CSE) and Neglect					Child abuse (including CSE) and Neglect					Child abuse (including CSE) and Neglect					Child abuse (including CSE) and Neglect				
	Joint Strategic Intelligence Assessment					Joint Strategic Intelligence Assessment					Joint Strategic Intelligence Assessment					Joint Strategic Intelligence Assessment					Joint Strategic Intelligence Assessment				
	Mental Health intervention (UoY)					Mental Health intervention (UoY)					Mental Health intervention (UoY)					Mental Health intervention (UoY)					Mental Health intervention (UoY)				
	Risk Register BAU Health Checks					Risk Register BAU Health Checks					Risk Register BAU Health Checks					Risk Register BAU Health Checks					Risk Register BAU Health Checks				
	Business Continuity Plan Reviews					Business Continuity Plan Reviews					Business Continuity Plan Reviews					Business Continuity Plan Reviews					Business Continuity Plan Reviews				
											Overtime, Bonus and Honorarium Payments														
															Thematic – Priority 4: Improve Victim Care CPDSB 23.08.16										
Sep-16	5	6	7	8	9	12	13	14	15	16	19	20	21	22	23	26	27	28	29	30					
	HMIC PEEL 16/17 Effectiveness (inc Stalking & Harassment) (anticipated September - November)					HMIC PEEL 16/17 Effectiveness (inc Stalking & Harassment) (anticipated September - November)					HMIC PEEL 16/17 Effectiveness (inc Stalking & Harassment) (anticipated September - November)					HMIC PEEL 16/17 Effectiveness (inc Stalking & Harassment) (anticipated September - November)									
	Monthly Incident to Crime Audit (NSIR)					Monthly Incident to Crime Audit (NSIR)																			
	Bi-Annual Robbery Audit					Bi-Annual Robbery Audit					Bi-Annual Robbery Audit					Bi-Annual Robbery Audit									
	Sexual Offences Audit					Sexual Offences Audit					Sexual Offences Audit					Sexual Offences Audit									
	Fortnightly N100 incident Audit										Fortnightly N100 incident Audit														
	Child abuse (including CSE) and Neglect					Child abuse (including CSE) and Neglect					Child abuse (including CSE) and Neglect					Child abuse (including CSE) and Neglect									
	Joint Strategic Intelligence Assessment					Joint Strategic Intelligence Assessment					Joint Strategic Intelligence Assessment					Joint Strategic Intelligence Assessment									
	Mental Health intervention (UoY)					Mental Health intervention (UoY)					Mental Health intervention (UoY)					Mental Health intervention (UoY)									
	Benefit Review of Exhibits on Niche (inc Exhibit Procedure & Process Compliance)					Benefit Review of Exhibits on Niche (inc Exhibit Procedure & Process Compliance)					Benefit Review of Exhibits on Niche (inc Exhibit Procedure & Process Compliance)					Benefit Review of Exhibits on Niche (inc Exhibit Procedure & Process Compliance)									
	Intelligence Submissions Review					Intelligence Submissions Review					Intelligence Submissions Review					Intelligence Submissions Review									
	Risk Register BAU Health Checks					Risk Register BAU Health Checks					Risk Register BAU Health Checks					Risk Register BAU Health Checks									
	Business Continuity Plan Reviews					Business Continuity Plan Reviews					Business Continuity Plan Reviews					Business Continuity Plan Reviews									
	Data Quality (including Governance)																								
	Management of Police Information																								
															Cash and Property Checks										
															Commissioning										
															Quarterly Outcomes Review – Operations Outcomes CPDSB 27.09.16										
Oct-16	3	4	5	6	7	10	11	12	13	14	17	18	19	20	21	24	25	26	27	28					
	Benefit Review of Exhibits on Niche (inc Exhibit Procedure & Process Compliance)					Benefit Review of Exhibits on Niche (inc Exhibit Procedure & Process Compliance)					Benefit Review of Exhibits on Niche (inc Exhibit Procedure & Process Compliance)					Benefit Review of Exhibits on Niche (inc Exhibit Procedure & Process Compliance)									
	Athena House Refurbishment Benefit Review (DN096/2014) proposed					Athena House Refurbishment Benefit Review (DN096/2014) proposed					Athena House Refurbishment Benefit Review (DN096/2014) proposed					Athena House Refurbishment Benefit Review (DN096/2014) proposed									
	Risk Register BAU Health Checks					Risk Register BAU Health Checks					Risk Register BAU Health Checks					Risk Register BAU Health Checks									
	Business Continuity Plan Reviews					Business Continuity Plan Reviews					Business Continuity Plan Reviews					Business Continuity Plan Reviews									
						Collaboration																			
	Rape & serious sexual crime inc adult sexual exploitation / prostitution					Rape & serious sexual crime inc adult sexual exploitation / prostitution					Rape & serious sexual crime inc adult sexual exploitation / prostitution					Rape & serious sexual crime inc adult sexual exploitation / prostitution									
Mental Health intervention (UoY)					Mental Health intervention (UoY)					Mental Health intervention (UoY)					Mental Health intervention (UoY)										
															Thematic – Priority 5: Transforming the Organisation CPDSB 25.10.16										

	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr
	31	1	2	3	4	7	8	9	10	11	14	15	16	17	18	21	22	23	24	25	28	29	1	2	3
Nov-16	Local Police Station Office Boroughbridge Benefit Review (DN 075/2013)																								
	Oracle Financials Benefit Review (DN033/2013) proposed																								
	Risk Register BAU Health Checks																								
	Business Continuity Plan Reviews																								
Dec-16	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr					
	5	6	7	8	9	12	13	14	15	16	19	20	21	22	23	26	27	28	29	30					
	Risk Register BAU Health Checks																								
	Business Continuity Plan Reviews																								
	Key Financial Controls																								
	Rape & serious sexual crime inc adult sexual exploitation / prostitution																								
	Mental Health intervention (UoY)																								
Quarterly Outcomes Review – Organisation Outcomes CPDSB: 20.12.16																									
Jan-17	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr
	2	3	4	5	6	9	10	11	12	13	16	17	18	19	20	23	24	25	26	27	30	31	1	2	3
	Northallerton Custody Benefits Evaluation					Northallerton Custody Benefits Evaluation					Northallerton Custody Benefits Evaluation					Northallerton Custody Benefits Evaluation									
						Annual Petty Cash Check																			
						Cash and Property Check																			
											Follow Up 2 (16/17)														
					Proceeds of Crime – Management of Sensitive Property																				
Aug-17	Community Speed Watch Evaluation					Community Speed Watch Evaluation					Community Speed Watch Evaluation					Community Speed Watch Evaluation									

ABBREVIATIONS		
ASB	Anti Social Behaviour	
ACC	Assistant Chief Constable	
ANPR	Automated Number Plate Recognition	
CC CFO	Chief Constables Chief Finance Officer	
CEO	Chief Executive Officer	
CPDSB	Corporate Performance Delivery & Scrutiny	
DCC	Deputy Chief Constable	
DCS	Detective Chief Superintendent	
FGM	Female Genital Mutilation	
FM	Forced Marriage	
HBV	Honour Based Violence	
HMIC	Her Majesty's Inspectorate of Constabulary	
HMRC	Her Majesty's Revenue & Customs	
IA	Internal Audit	
NSIR	National Standards of Incident Recording	
NYP	North Yorkshire Police	
ODT	Operational Development Team	
PEEL	Police Effectiveness Efficiency Legitimacy	
PVPP	Protecting Vulnerable Persons Programme	
RAU	Risk & Assurance Unit	
tbc	to be confirmed	