Organisational Assurance Calendar
FUTURE External & Internal Assurance Activities 2016 - 17 & 2017 - 18

Inspecting Bodies:
Pre-inspection activity
HMIC Inspection
HMIC Report expected
External Audit
Internal Audit
Peer Review
Intelligence Analysis
Risk & Assurance Unit
Information Management
Nexus
Independent
Business Planning

Independe Business P						
Business		Mo Tu We Th Fr 1 2 3 4 5	Mo Tu We Th Fr 8 9 10 11 12	Mo Tu We Th Fr 15 16 17 18 19	Mo Tu We Th Fr 22 23 24 25 26	Mo Tu We Th Fr 29 30 31 1 2
		HMIC Force Management Statement (anticipated July or August)	HMIC Force Management Statement (anticipated July or August)	HMIC Force Management Statement (anticipated July or August)	HMIC Force Management Statement (anticipated July or August)	25 30 31 1 2
		(anticipated July of August)	(anticipated July Of August)	(anticipated July of August)	(anticipated July of August)	
		Data Collection - HMIC PEEL 16/17				
		Effectiveness & Vulnerability (inc Stalking & Harassment)				
		PEEL Effectivness & Vulnerability - File reviews, Force Insight, ROCU visits –	PEEL Effectivness & Vulnerability - File reviews, Force Insight, ROCU visits –	PEEL Effectivness & Vulnerability - File reviews, Force Insight, ROCU visits –	PEEL Effectivness & Vulnerability - File reviews, Force Insight, ROCU visits –	PEEL Effectivness & Vulnerability - File reviews, Force Insight, ROCU visits –
		June to August	June to August	June to August	June to August	June to August
		Missing from Home				
		Victim Experience / THRIVE				
		The state of the s				
		Stalking & Harrassment TBC	Stalking & Harrassment TBC	Stalking & Harrassment TBC	Stalking & Harrassment TBC	Stalking & Harrassment TBC
		Monthly Incident to Crime Audit (NSIR)	Monthly Incident to Crime Audit (NSIR)			
			Bi-Annual PSW Audit	Bi-Annual PSW Audit	Bi-Annual PSW Audit	
			S. / IIII dai / S. V / Idak	S. / W. Madr. S. V. / Madr.	Si / will dail / Si / / daile	
Aug-16		Sexual Offences Audit	Sexual Offences Audit	Sexual Offences Audit	Sexual Offences Audit	Sexual Offences Audit
		Fortnightly N100 incident Audit		Fortnightly N100 incident Audit		
		Child abuse (including CSE) and Neglect	Child abuse (including CSE) and Neglect	Child abuse (including CSE) and Neglect	Child abuse (including CSE) and Neglect	Child abuse (including CSE) and Neglect
		Joint Strategic Intelligence Assessment	Joint Strategic Intelligence Assessment	Joint Strategic Intelligence Assessment	Joint Strategic Intelligence Assessment	Joint Strategic Intelligence Assessment
		Mental Health intervention (UoY)	Mental Health intervention (UoY)	Mental Health intervention (UoY)	Mental Health intervention (UoY)	Mental Health intervention (UoY)
		Review of Vehicle Stops	Review of Vehicle Stops	Review of Vehicle Stops	Review of Vehicle Stops	
		Sgt Role Sgts Role	Sgt Role Sgts Role	Sgt Role Sgts Role	Sgt Role Sgts Role	Sgt Role Sgts Role
		Risk Register BAU Health Checks (PCC	Risk Register BAU Health Checks (PCC	Risk Register BAU Health Checks (PCC	Risk Register BAU Health Checks (PCC	Risk Register BAU Health Checks (PCC
		and O&D)	and O&D)	and O&D)	and O&D)	and O&D)
		Business Continuity Plan Reviews	Business Continuity Plan Reviews	Business Continuity Plan Reviews	Business Continuity Plan Reviews	Business Continuity Plan Reviews
		Follow Up 1 - 2016 (1 Aug 16)				
				Overtime, Bonus and Honorarium Payments		
					Thematic – Priority 4: Improve Victim	
					Care CPDSB 23.08.16	
		Mo Tu We Th Fr 5 6 7 8 9	Mo Tu We Th Fr 12 13 14 15 16	Mo Tu We Th Fr 19 20 21 22 23	Mo Tu We Th Fr 26 27 28 29 30	
					HMIC PEEL 16/17 Effectiveness &	
					Vulnerability (inc Stalking & Harassment)	
			Pre-			
			Publication Checks	Pre-Publication Checks (Legitimacy, Leadership and Efficiency)		
		Stalking & Harrassment TBC	Stalking & Harrassment TBC	Stalking & Harrassment TBC	Stalking & Harrassment TBC	
		Monthly Incident to Crime Audit (NSIR)	Monthly Incident to Crime Audit (NSIR)			
		Bi-Annual Robbery Audit	Bi-Annual Robbery Audit	Bi-Annual Robbery Audit		
		Sexual Offences Audit	Sexual Offences Audit	Sexual Offences Audit	Sexual Offences Audit	
		Fortnightly N100 incident Audit		Fortnightly N100 incident Audit		
		Child abuse (including CSE) and	Child abuse (including CSE) and	Child abuse (including CSE) and	Child physe (includes CCE)	
Sep-16		Neglect	Neglect	Neglect	Child abuse (including CSE) and Neglect	
		Joint Strategic Intelligence Assessment	Joint Strategic Intelligence Assessment	Joint Strategic Intelligence Assessment	Joint Strategic Intelligence Assessment	
		Mental Health intervention (UoY)	Mental Health intervention (UoY)	Mental Health intervention (UoY)	Mental Health intervention (UoY)	
		Intelligence Submissions Review	Intelligence Submissions Review	Intelligence Submissions Review	Intelligence Submissions Review	
		Risk Register BAU Health Checks	Risk Register BAU Health Checks	Risk Register BAU Health Checks	Risk Register BAU Health Checks	
		Business Continuity Plan Reviews	Business Continuity Plan Reviews	Business Continuity Plan Reviews	Business Continuity Plan Reviews	
		Data Quality (including Governance)	\vdash	ļ		
					Management of Police Information	
					Seized Cash Spotcheck	
					Commissioning	
			\vdash	 	Publish approved statement of	
			\vdash		accounts. Notice of conclusion of Audit	
		I			Quarterly Outcomes Review – Operations Outcomes CPDSB 27.09.16	
					CPDSB 27.09.16	

		Mo Tu We Th Fr 3 4 5 6 7	Mo Tu We Th Fr 10 11 12 13 14 17 18 19 20 21 24 25 26 27 28	+		
		Intelligence Submissions Review	Intelligence Submissions Review Intelligence Submissions Review Intelligence Submissions Review			
		Benefit Review of Exhibits on Niche (inc Exhibit Procedure & Process	Benefit Review of Exhibits on Niche (inc Exhibit Procedure & Process Benefit Review of Exhibits on Niche (inc Exhibit Procedure & Process Exhibit Procedure & Process	IC IC		
		Compliance)	Compliance) Compliance) Compliance)			
		Risk Register BAU Health Checks	Risk Register BAU Health Checks Risk Register BAU Health Checks			
		Business Continuity Plan Reviews	Business Continuity Plan Reviews Business Continuity Plan Reviews Business Continuity Plan Reviews Business Continuity Plan Reviews	-		
Oct-16		Collaboration Bi-Annual Violent Crime Audit	Bi-Annual Violent Crime Audit Bi-Annual Violent Crime Audit	- 1		
		Rape & serious sexual crime inc adult	Rape & serious sexual crime inc adult Rape & serious sexual crime inc adult Rape & serious sexual crime inc adult			
		sexual exploitation / prostitution	sexual exploitation / prostitution sexual exploitation / prostitution sexual exploitation / prostitution			
		Mental Health intervention (UoY)	Mental Health intervention (UoY) Mental Health intervention (UoY) Mental Health intervention (UoY)			
		Custody	Custody Custody Custody			
			Publish Audit Letter Thematic – Priority 5: Transforming th			
			Organisation CPDSB 25.10.16	e		
		Mo Tu We Th Fr	Mo Tu We Th Fr Mo Tu We Th Fr Mo Tu We Th Fr	Mo Tu We Th Fr		
		31 1 2 3 4	7 8 9 10 11 14 15 16 17 18 21 22 23 24 25	28 29 1 2 3 Efficiency		
		Efficiency Publication	Efficiency Publication Efficiency Publication Efficiency Publication	Publication		
		Benefit Review of Exhibits on Niche (inc Exhibit Procedure & Process	Benefit Review of Exhibits on Niche (inc Exhibit Procedure & Process Benefit Review of Exhibit Procedure & Process Exhibit Procedure & Process	Benefit Review of Exhibits on Niche (inc Exhibit Procedure & Process		
		Compliance)	Compliance) Compliance) Compliance)	Compliance)		
		Athena House Refurbishment Benefit Review (DN096/2014) proposed	Athena House Refurbishment Benefit Review (DN096/2014) proposed Athena House Refurbishment Benefit Review (DN096/2014) proposed Review (DN096/2014) proposed	Athena House Refurbishment Benefit Review (DN096/2014) proposed		
		Risk Register BAU Health Checks				
Nov-16		Business Continuity Plan Reviews				
		Counter Terrorism	Counter Terrorism Counter Terrorism Counter Terrorism	Counter Terrorism		
			Risk Management			
			Complaints			
		Rape & serious sexual crime inc adult sexual exploitation / prostitution	Rape & serious sexual crime inc adult sexual exploitation / prostitution Rape & serious sexual exploitation / prostitution sexual exploitation / prostitution	Rape & serious sexual crime inc adult sexual exploitation / prostitution		
		Mental Health intervention (UoY)	Mental Health intervention (UoY) Mental Health intervention (UoY) Mental Health intervention (UoY)	Mental Health intervention (UoY)		
		Thematic – Priority 6: People First		The first of the f		
		CPDSB 22.11.16 Mo Tu We Th Fr	Mo Tu We Th Fr Mo Tu We Th Fr Mo Tu We Th Fr			
		5 6 7 8 9	12 13 14 15 16 19 20 21 22 23 26 27 28 29 30	1		
		Legitimacy & Leadership Publication	Legitimacy & Leadership Publication Legitimacy & Leadership Publication Legitimacy & Leadership Publication			
		CBRN Benefit Review proposed	CBRN Benefit Review proposed CBRN Benefit Review proposed CBRN Benefit Review proposed	1		
		Local Police Station Office	Local Police Station Office Local Police Station Office Local Police Station Office			
		Boroughbridge Benefit Review (DN 075/2013)	Boroughbridge Benefit Review (DN 075/2013) Boroughbridge Benefit Review (DN 075/2013) Boroughbridge Benefit Review (DN 075/2013)			
		Risk Register BAU Health Checks		1		
<u>Dec-16</u>		Business Continuity Plan Reviews				
		York Homeless	York Homeless York Homeless York Homeless			
		Key Financial Controls				
		Rape & serious sexual crime inc adult	Rape & serious sexual crime inc adult			
		sexual exploitation / prostitution	sexual exploitation / prostitution sexual exploitation / prostitution sexual exploitation / prostitution	-		
		Mental Health intervention (UoY)	Mental Health intervention (UoY) Mental Health intervention (UoY) Mental Health intervention (UoY)			
		Quarterly Outcomes Review – Organisation Outcomes CPDSB: 20.12.16				
		Mo Tu We Th Fr	Mo Tu We Th Fr Mo Tu We Th Fr Mo Tu We Fr	Mo Tu We Th Fr		
		2 3 4 5 6 Northallerton Custody Benefits	9 10 11 12 13 16 17 18 19 20 23 24 25 26 27 Northallerton Custody Benefits Northallerton Custody Benefits Northallerton Custody Benefits	30 31 1 2 3		
		Evaluation	Northalierton Custody Benefits Evaluation Evaluation Evaluation Northalierton Custody Benefits Northalierton Custody Benefits Evaluation			
		Hate Crime TBC	Hate Crime TBC Hate Crime TBC Hate Crime TBC	Hate Crime TBC		
Jan-17			Annual Petty Cash Check			
			Seized Cash Spotcheck			
			Follow Up 2 - 2017 (16 Jan 17)			
			Proceeds of Crime – Management of			
			Sensitive Property			

NOT PROTECTIVELY MARKED

Feb			Мо	Tu	We	Th	Fr		Мо	Tu	We	Th	Fr		Мо	Tu	We	Th	Fr		Мо	Tu	We	Th	Fr		
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			Mo 6	Tu 7	We 8	Th 9	Fr 10		Mo 13	Tu 14	We 15	Th 16	Fr 17		Mo 20	Tu 21	We 22	Th 23	Fr 24		Mo 27	Tu 28	We 29	Th 30	Fr 31		·
Mar-17				Effectiveness & Vulnerability Publication					Effectiveness & Vulnerability Publication							fectiven					ectivene	ess & Vu	ulnerab			Effectiveness & Vulnerability Publication	
Jun-17				Final Accounts & AGS						Final Accounts & AGS					Final Accounts & AGS						Final Accounts & AGS						Final Accounts & AGS
Jul-17			HMRC	Final Au	udit Repo July)	ort (anti	cipated		HMRC Final Audit Report (anticipated July)						HMRC Final Audit Report (anticipated July)						HMRC Final Audit Report (anticipated July)						HMRC Final Audit Report (anticipated July)
			Con	Commence period for exercise of public rights																							
Aug-17			Comn	nunity S	peed Wa	itch Eva	luation		Community Speed Watch Evaluation					Community Speed Watch Evaluation						Community Speed Watch Evaluation						Community Speed Watch Evaluation	
Sep-17																										Publish approved statement of accounts. Notice of conclusion of Audit	
Oct-17																											Publish Audit Letter
2018		Ī						П							1											г	
Jun-18				Final Accounts & AGS					Final Accounts & AGS						Final Accounts & AGS						Final Accounts & AGS						Final Accounts & AGS
Jul-18	HMRC Final Audit Report (antic			cipated		HMRC Final Audit Report (anticipated July)				HMRC	Final Au	July)	ort (anti	cipated		HMRC	inal Au	dit Repo July)	ort (ant	ticipated		HMRC Final Audit Report (anticipated July)					
			Con		period found found in the period of the peri		ise of																				
Sep-18																											Publish approved statement of accounts. Notice of conclusion of Audit
Oct-18																											Publish Audit Letter