

JOINT INDEPENDENT AUDIT COMMITTEE

JOINT INDEPENDENT AUDIT COMMITTEE REVIEW OF EFFECTIVENESS 2016

REPORT OF THE JOINT INDEPENDENT AUDIT COMMITTEE

1. INTRODUCTION

1.1. The Joint Independent Audit Committee (JIAC) has conducted a review of its effectiveness. The review utilised a self assessment checklist which covered a series of questions relating to six key themes. The themes of the self assessment are listed below.

1.1.1. The Role of the Audit Committee

1.1.2. Membership, Independence, Objectivity and Understanding

1.1.3. Skills

1.1.4. Scope of Work

1.1.5. Good Communication

1.1.6. JIAC support

1.2. This report provides a summary of the review for both the Commissioner and Chief Constable.

2. KEY INFORMATION

2.1. The checklist was initially completed by the JIAC chair and members. The results were consolidated and anonymised in preparation for group review. There were three respondents to this part of the review.

2.2. In addition the review was supplemented by inviting key stakeholders for each of the Police and Crime Commissioner and Chief Constable to consider the assessment questions from their perspective. Respondents included the Statutory Officers from each corporation sole and both External Audit and Internal Audit. There were seven respondents to this part of the review and again the results were consolidated and anonymised.

2.3. Following completion of the checklist the next stage was for the JIAC to consider the results from each group of respondents. At a workshop on 25 May 2016 those members who could attend undertook a review of the anonymised summaries in order to draw out and discuss some key areas for development.

2.4. Draft areas for development were drawn up in order to share with the wider membership when convenient. These are drawn as high level themes that may develop into additional areas as time progresses. The most recent version is attached at appendix A.

- 2.5. Recruitment of additional members of the JIAC is urgently required. Trevor Latus is preparing a separate paper about this.

3. PROPOSALS / RECOMMENDATIONS

- 3.1. The Commissioner and Chief Constable are invited to endorse the review of effectiveness undertaken by the JIAC.
- 3.2. The Commissioner and Chief Constable are invited to comment about the effectiveness of the JIAC and the identified areas for development.
- 3.3. JIAC propose to review progress against each of the areas for development and report on progress in its annual report.

David Portlock
Chair of JIAC
September 2016