

Organisational Assurance Calendar

FUTURE External & Internal Assurance Activities 2016 - 17 & 2017 - 18

Inspecting Bodies:
Pre-inspection activity
HMIC Inspection
HMIC Report expected
External Audit
Internal Audit
Peer Review
Intelligence Analysis
Risk & Assurance Unit
Information Management
Nexus
Independent
Business Planning

[Quick Link to: Abbreviations](#)

	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr		
	3	4	5	6	7	10	11	12	13	14	17	18	19	20	21	24	25	26	27	28							
Oct-16	Ofsted, Care Quality Commission, HMIC and HMI Probation - Joint Targeted Inspections - TBC					Ofsted, Care Quality Commission, HMIC and HMI Probation - Joint Targeted Inspections - TBC					Ofsted, Care Quality Commission, HMIC and HMI Probation - Joint Targeted Inspections - TBC					Ofsted, Care Quality Commission, HMIC and HMI Probation - Joint Targeted Inspections - TBC											
		PEEL E return		Rape Monitoring Data	PEEL L&L return																						
		Intelligence Submissions Review				Intelligence Submissions Review				Intelligence Submissions Review (L)				Intelligence Submissions Review (L)													
		Benefit Review Unified Comms (B)				Benefit Review Unified Comms (B)				Benefit Review Unified Comms (B)				Benefit Review Unified Comms (B)													
		Dip sample protected characteristics who have formal allegations made against them (L)				Dip sample protected characteristics who have formal allegations made against them (L)				Dip sample protected characteristics who have formal allegations made against them (L)				Dip sample protected characteristics who have formal allegations made against them (L)													
		Risk Register BAU Health Checks				Risk Register BAU Health Checks				Risk Register BAU Health Checks				Risk Register BAU Health Checks													
		Business Continuity Plan Reviews				Business Continuity Plan Reviews				Business Continuity Plan Reviews				Business Continuity Plan Reviews													
		Collaboration																									
		All Crime Audit				All Crime Audit				All Crime Audit				All Crime Audit													
		Rape & serious sexual crime inc adult sexual exploitation / prostitution				Rape & serious sexual crime inc adult sexual exploitation / prostitution				Rape & serious sexual crime inc adult sexual exploitation / prostitution				Rape & serious sexual crime inc adult sexual exploitation / prostitution													
		Mental Health intervention (UoY)				Mental Health intervention (UoY)				Mental Health intervention (UoY)				Mental Health intervention (UoY)													
		Custody				Custody				Custody				Custody													
																	Publish Audit Letter										
																Thematic – Priority 5: Transforming the Organisation CPDSB 25.10.16											
Nov-16	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr	Mo	Tu	We	Th	Fr		
	31	1	2	3	4	7	8	9	10	11	14	15	16	17	18	21	22	23	24	25	28	29	1	2	3		
	Ofsted, Care Quality Commission, HMIC and HMI Probation - Joint Targeted Inspections - TBC					Ofsted, Care Quality Commission, HMIC and HMI Probation - Joint Targeted Inspections - TBC					Ofsted, Care Quality Commission, HMIC and HMI Probation - Joint Targeted Inspections - TBC					Ofsted, Care Quality Commission, HMIC and HMI Probation - Joint Targeted Inspections - TBC					Ofsted, Care Quality Commission, HMIC and HMI Probation - Joint Targeted Inspections - TBC						
	Efficiency Publication					Efficiency Publication					Efficiency Publication					Efficiency Publication					Efficiency Publication						
	Benefit Review Unified Comms (B)					Benefit Review Unified Comms (B)					Benefit Review Unified Comms (B)					Benefit Review Unified Comms (B)					Benefit Review Unified Comms (B)						
	Dip sample protected characteristics who have formal allegations made against them (L)					Dip sample protected characteristics who have formal allegations made against them (L)					Dip sample protected characteristics who have formal allegations made against them (L)					Dip sample protected characteristics who have formal allegations made against them (L)					Dip sample protected characteristics who have formal allegations made against them (L)						
	Review complaints and misconduct regulations in relation to women and BAME (L)					Review complaints and misconduct regulations in relation to women and BAME (L)					Review complaints and misconduct regulations in relation to women and BAME (L)					Review complaints and misconduct regulations in relation to women and BAME (L)					Review complaints and misconduct regulations in relation to women and BAME (L)						
	Risk Register BAU Health Checks																										
	Business Continuity Plan Reviews																										
	Counter Terrorism					Counter Terrorism					Counter Terrorism					Counter Terrorism					Counter Terrorism						
																	Risk Management										
																	Complaints										
		Rape & serious sexual crime inc adult sexual exploitation / prostitution				Rape & serious sexual crime inc adult sexual exploitation / prostitution				Rape & serious sexual crime inc adult sexual exploitation / prostitution				Rape & serious sexual crime inc adult sexual exploitation / prostitution				Rape & serious sexual crime inc adult sexual exploitation / prostitution				Rape & serious sexual crime inc adult sexual exploitation / prostitution					
	Mental Health intervention (UoY)				Mental Health intervention (UoY)				Mental Health intervention (UoY)				Mental Health intervention (UoY)				Mental Health intervention (UoY)				Mental Health intervention (UoY)						
	Thematic – Priority 6: People First CPDSB 22.11.16																										

	Mo					Mo					Mo					Mo									
	5	6	7	8	9	12	13	14	15	16	19	20	21	22	23	26	27	28	29	30					
Dec-16	Ofsted, Care Quality Commission, HMIC and HMI Probation - Joint Targeted Inspections - TBC					Ofsted, Care Quality Commission, HMIC and HMI Probation - Joint Targeted Inspections - TBC					Ofsted, Care Quality Commission, HMIC and HMI Probation - Joint Targeted Inspections - TBC					Ofsted, Care Quality Commission, HMIC and HMI Probation - Joint Targeted Inspections - TBC									
	Legitimacy & Leadership Publication					Legitimacy & Leadership Publication					Legitimacy & Leadership Publication					Legitimacy & Leadership Publication									
	CBRN Benefit Review proposed					CBRN Benefit Review proposed					CBRN Benefit Review proposed					CBRN Benefit Review proposed									
	Local Police Station Office Boroughbridge Benefit Review (DN 075/2013)					Local Police Station Office Boroughbridge Benefit Review (DN 075/2013)					Local Police Station Office Boroughbridge Benefit Review (DN 075/2013)					Local Police Station Office Boroughbridge Benefit Review (DN 075/2013)									
	Risk Register BAU Health Checks																								
	Business Continuity Plan Reviews																								
	York Homeless					York Homeless					York Homeless					York Homeless									
	Key Financial Controls																								
	Rape & serious sexual crime inc adult sexual exploitation / prostitution					Rape & serious sexual crime inc adult sexual exploitation / prostitution					Rape & serious sexual crime inc adult sexual exploitation / prostitution					Rape & serious sexual crime inc adult sexual exploitation / prostitution									
	Mental Health intervention (UoY)					Mental Health intervention (UoY)					Mental Health intervention (UoY)					Mental Health intervention (UoY)									
	Quarterly Outcomes Review – Organisation Outcomes CPDSB: 20.12.16																								
Jan-17	Mo					Mo					Mo					Mo					Mo				
	2					9					16					23					30				
	Ofsted, Care Quality Commission, HMIC and HMI Probation - Joint Targeted Inspections - TBC					Ofsted, Care Quality Commission, HMIC and HMI Probation - Joint Targeted Inspections - TBC					Ofsted, Care Quality Commission, HMIC and HMI Probation - Joint Targeted Inspections - TBC					Ofsted, Care Quality Commission, HMIC and HMI Probation - Joint Targeted Inspections - TBC					Ofsted, Care Quality Commission, HMIC and HMI Probation - Joint Targeted Inspections - TBC				
	Athena House Refurbishment Benefit Review (DN096/2014) proposed					Athena House Refurbishment Benefit Review (DN096/2014) proposed					Athena House Refurbishment Benefit Review (DN096/2014) proposed					Athena House Refurbishment Benefit Review (DN096/2014) proposed					Athena House Refurbishment Benefit Review (DN096/2014) proposed				
	Northallerton Custody Benefits Evaluation					Northallerton Custody Benefits Evaluation					Northallerton Custody Benefits Evaluation					Northallerton Custody Benefits Evaluation					Northallerton Custody Benefits Evaluation				
	Hate Crime TBC					Hate Crime TBC					Hate Crime TBC					Hate Crime TBC					Hate Crime TBC				
						Annual Petty Cash Check																			
						Seized Cash Spotcheck																			
											Follow Up 2 - 2017 (16 Jan 17)														
						Proceeds of Crime – Management of Sensitive Property																			
	Feb	Mo					Mo					Mo					Mo					Mo			
6					13					20					27					6					
Government Security Classifications - Dip Sample					Government Security Classifications - Dip Sample					Government Security Classifications - Dip Sample					Government Security Classifications - Dip Sample					Government Security Classifications - Dip Sample					
BAS Review Benefit Review					BAS Review Benefit Review					BAS Review Benefit Review					BAS Review Benefit Review					BAS Review Benefit Review					
Mar-17	Mo					Mo					Mo					Mo					Mo				
	6					13					20					27					6				
	Effectiveness & Vulnerability Publication					Effectiveness & Vulnerability Publication					Effectiveness & Vulnerability Publication					Effectiveness & Vulnerability Publication					Effectiveness & Vulnerability Publication				
	Final Accounts & AGS					Final Accounts & AGS					Final Accounts & AGS					Final Accounts & AGS					Final Accounts & AGS				
	HMRC Final Audit Report (anticipated July)					HMRC Final Audit Report (anticipated July)					HMRC Final Audit Report (anticipated July)					HMRC Final Audit Report (anticipated July)					HMRC Final Audit Report (anticipated July)				
	Commence period for exercise of public rights																								
Aug-17	Community Speed Watch Evaluation					Community Speed Watch Evaluation					Community Speed Watch Evaluation					Community Speed Watch Evaluation					Community Speed Watch Evaluation				
Sep-17																					Publish approved statement of accounts. Notice of conclusion of Audit				
Oct-17																					Publish Audit Letter				
2018																									
Jun-18	Final Accounts & AGS					Final Accounts & AGS					Final Accounts & AGS					Final Accounts & AGS					Final Accounts & AGS				
Jul-18	HMRC Final Audit Report (anticipated July)					HMRC Final Audit Report (anticipated July)					HMRC Final Audit Report (anticipated July)					HMRC Final Audit Report (anticipated July)					HMRC Final Audit Report (anticipated July)				

			Commence period for exercise of public rights				
Sep-18							Publish approved statement of accounts. Notice of conclusion of Audit
Oct-18							Publish Audit Letter

ABBREVIATIONS	ASB	Anti Social Behaviour
	ACC	Assistant Chief Constable
	ANPR	Automated Number Plate Recognition
	CC CFO	Chief Constables Chief Finance Officer
	CEO	Chief Executive Officer
	CPDSB	Corporate Performance Delivery & Scrutiny
	DCC	Deputy Chief Constable
	DCS	Detective Chief Superintendent
	FGM	Female Genital Mutilation
	FM	Forced Marriage
	HBV	Honour Based Violence
	HMIC	Her Majesty's Inspectorate of Constabulary
	HMRC	Her Majesty's Revenue & Customs
	IA	Internal Audit
	NSIR	National Standards of Incident Recording
	NYP	North Yorkshire Police
	ODT	Operational Development Team
	PEEL	Police Effectiveness Efficiency Legitimacy
	PVPP	Protecting Vulnerable Persons Programme
	RAU	Risk & Assurance Unit
tbc	to be confirmed	