



Corporate Performance Delivery & Scrutiny Board

28 July 2015

Report of Strategic Risks Highlighted by Joint Corporate Risk Group (JCRG)

1. Report Purpose

- 1.1 The purpose of this report is:
Joint Corporate Risk Group manage and oversee the progression of actions and mitigation of risks on the corporate risk register. At this point they wish to bring the following to the attention of corporate performance delivery and scrutiny group. This is In order for members to be sighted on the potential impact as these, while being actively managed are those which are either experiencing some ongoing issues or are being impacted by external factors which members of the corporate performance delivery and scrutiny group may wish to take a view on or instigate wider supportive action/mitigation.

2. Key Information including Implications

- 2.1 JCRG identified five risks overall. **Risk 6661 - Delays in charging decisions made by CPS (i.e. provide a decision of whether or not to charge) in relation to Rape & Serious Sexual Offences**, is to be discussed in more detail and is included on the meeting agenda.
- 2.2 **Risk 6681 - Accreditation of the Digital Forensics Unit to ISO17025 standards.**
- The recently published Forensics Regulators Statement of Accreditation Requirements sets a requirement for all suppliers including forces of Digital Forensic Services to have in place ISO17025 levels of validation for any method used for imaging conventional hard drives by October 2015.
 - Full accreditation for ISO17025 is needed by October 2017.
 - There are significant costs associated with this accreditation. Costs are approximately £100K initially with an ongoing annual commitment/support plus an additional quality manager role.
 - The consequence of this risk is that NYP will not be able to perform any digital forensics function for the force and evidence at Court will be questioned if we are not accredited.
 - Plans in place at the moment are to develop a Business Case to include the current Forensics Quality Manual and provide indications on Financial and Resource Requirements.
- 2.3 **Risk 6666 - Concerns for Paediatric cover for North Yorkshire SARC Services.**
- North Yorkshire has not got an out of hours Paediatric SARC service.
 - The consequence of this risk is that there is insufficient Paediatric Cover for North Yorkshire SARC Services.

- Plans in place at the moment are to seek clarity on future service provision/performance information (including Paediatrics) and to implement a Paediatric rota cover with Yorkshire and Humber Colleagues.

2.4 Risk 6670 - There is the potential for harm as a result of an identified trap and ligature risk with some of the toilets within our custody suites.

- An incident occurred in Colchester Essex, where a detainee who inserted fingers under the rim of a toilet pan and held on whilst being pulled from the cell, resulted in the loss of the top of three fingers. Whilst the equipment was examined it was concluded that not only was this a trap risk but potential for a ligature point.
- Property and Facilities conducted a review across all custody sites examining each toilet for the presence of such trap risks within the flushing mechanism of each unit.
- Assessment of a Home Office Health and Safety risk has identified that custody toilets located in Northallerton, York and Scarborough do not meet the Home Office standard. These toilets pose a trap and ligature risk. This risk may be heightened for vulnerable detainees.
- Based on replacing 4 toilet's at a time, the budget cost for the works would be: £ 1392.74 per unit x 40units = £55,709.60 +VAT.
- Plans in place at the moment are that Detention Officers and Custody Sergeants have been appraised of the risk and have included this knowledge into Custody visits and inspections.
- JCRG agreed to proceed with a gradual replacement programme of stainless steel custody toilets in Northallerton, York and Scarborough using the £55K option and in the interim to mitigate any risk. Criminal Justice and Estates are to work together in order to provide the best solution regarding replacement/delivery etc. and to provide JCRG with a timescale.

2.5 Risk 6693 & 6694 – Unified Communications Contract (loss of Telephony and PSNP Compliance)

- As part of the recommendations which emerged within the ICT Strategy, it was recommended that a new telephony platform was introduced to mitigate risks identified by ICT regarding the aged telephone platform and related equipment – handsets, back office systems for example.
- The Unified Communications contract to deliver the new technical solution to replace the current platform is due for sign off subject to final clarification.
- Contingency plans are being developed currently should NYP not be in a position to agree the contract negotiations, taking into account of the following consequences:-
 - The key consequence of withdrawing from the process is that the telephony platform will still be in a vulnerable state.
 - Potential for a legal challenge from suppliers.
 - There will be a need to re-procure which will delay the project implementation by 2 – 3 months. Contingency plans are being developed now for this possibility.

2.6 Business Continuity Update. Business Continuity has supported the planning and implementation of NYP projects, some of significance being:

- Force Control Room (FCR) Business Continuity – Fall Back Requirements Paper. FCR Management has authored a paper to be taken to the July 2015 ICT and Estates Board. The recommended option is for a new FCR fall-back facilities to be incorporated within the Athena House redevelopment plans.
- Athena House Redevelopment Project – Business Continuity are supporting the project team led by Estates to ensure the project is managing and minimising disruption to teams and their critical activities. The project planning and governance management templates, successfully used on the HQ and FCR UPS projects created by ICT will be utilised.

3. Proposals / Recommendations Requiring a Decision

3.1 The CPDSB are asked to note and approve the information contained within this report.

4. Consultations Carried Out

4.1 All decisions made at the JCRG are in consultation with the JCRG members.

Report Information

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