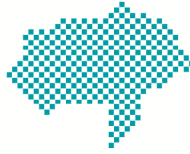


Police and Crime
Commissioner
North Yorkshire



JOINT INDEPENDENT AUDIT COMMITTEE

21 MARCH 2017

REPORT OF THE JOINT CORPORATE RISK GROUP

ANNUAL GOVERNANCE STATEMENT 2015/16 PROGRESS UPDATE

1. PURPOSE OF REPORT

- 1.1 To present to the Joint Independent Audit Committee an update on progress of the significant governance issue and the four key areas for development that were recorded in the 2015/16 Annual Governance Statement (AGS) of the Police and Crime Commissioner (PCC) for North Yorkshire and the Chief Constable (CC) of North Yorkshire Police (NYP).

2. KEY INFORMATION

Significant Governance Issue

- 2.1 The AGS recorded one significant governance issue. This identified the need to improve the processes for management and implementation of change in relation to Information and Communications Technology (ICT). At the point of the last update to JIAC it was reported that the organisation was using the work of the Police ICT Company to set a clear way forward for the delivery of a sustainable technology infrastructure for the organisation.
- 2.2 This work continues and very recently a new Head of ICT has been engaged. Richard Jones will lead on the ambitious ICT programme for the Commissioner and North Yorkshire Police, building on the ICT team's successes and strengths, and providing further strategic leadership to meet the organisation's many competing demands.
- 2.3 In addition to the significant governance issue there were four key areas for development identified in the AGS. A brief commentary on progress in each area of development (AFD) is included under the headings that follow.

AFD 1. Streamline meeting processes to ensure an appropriate flow of information between corporate meetings and their supporting structures.

AFD 2. Increase awareness of the requirement for compliance checking of decision making material and the timescales for that process.

- 2.4 As previously reported a review of the Joint Governance Arrangements led by Acting CEO Fraser Sampson is underway. The initial review report findings highlighted areas for further revision across the governance environment and not confined to meeting structures and decision making processes. Activity to review and refresh key governance instruments such as for example the scheme of delegation and consent is progressing. The revised framework will ensure an appropriate level of information flows to and from decision makers.

AFD 3. Design processes to provide assurance relating to the service and value for money from Partnerships, Commissioning and Collaboration in light of developments in national/regional capabilities and commissioning and grants processes.

- 2.5 As anticipated in the last update the Policing and Crime Bill, viewed as an enabler for further emergency service collaboration received royal assent in the New Year. Preparatory activity is ongoing in relation to additional responsibilities for example in relation to Fire and Rescue Services and ensuring effective use of resources.

AFD 4. Develop scanning processes to create common awareness of impacting factors including NPCC / APCC / HMIC / Internal Audit / Home Office / Legislation to ensure related risks and opportunities are incorporated into business plans.

- 2.6 This AFD is continues to be progressed in conjunction with the development of Nexus. This will include working alongside other functions such as Risk & Assurance to ensure that the product of scanning processes is appropriately considered.

3. RECOMMENDATIONS

- 3.1 The Committee are invited to note this report.

Donald Stone
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For the Joint Corporate Risk Group