

POLICE AND CRIME COMMISSIONER FOR
NORTH YORKSHIRE AND CHIEF CONSTABLE
OF NORTH YORKSHIRE

Internal Audit Progress Report

**Joint Independent Audit Committee - 20th June
2017**

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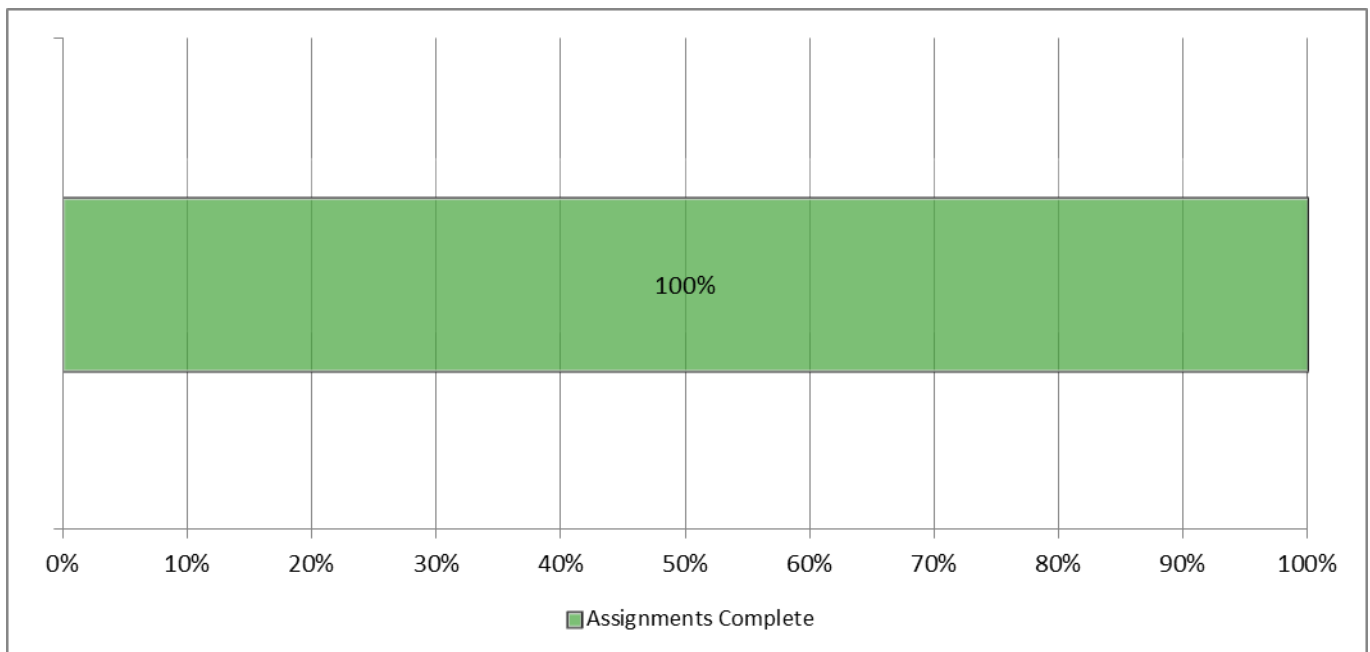
We have no responsibility to update this report for events and circumstances occurring after the date of this report.

RSM Risk Assurance Services LLP is a limited liability partnership registered in England and Wales no. OC389499 at 6th floor, 25 Farringdon Street, London EC4A 4AB.

1 INTRODUCTION

This progress report covers the 2016 / 2017 and 2017 / 2018 internal audit plans. The 2016 / 2017 plan has been fully delivered and an update is provided below. The internal audit plan for 2017 / 2018 was approved by the Joint Independent Audit Committee on 21st March 2017.

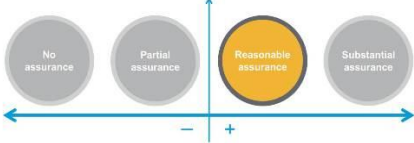

The table below provides a summary update on progress against the 2016 / 2017 plan.



2 REPORTS CONSIDERED AT THIS JOINT INDEPENDENT AUDIT COMMITTEE

This table informs of the audit assignments that have been completed and the impacts of those findings since the last Joint Independent Audit Committee held.

We have finalised three reports since the previous meeting which completes the 2016 / 2017 plan.

Assignments	Status	Opinion issued	Actions agreed		
			L	M	H
Follow Up of Previous Internal Audit and HMIC Recommendations	Final	Good Progress	0	0	0
Digital Forensic Unit Quality Manual and ISO17025 Internal Audit Compliance	Final		2	3	0
Chief Officer Salaries, Allowances and Expenses	Final		1	0	0

3 LOOKING AHEAD 2017/2018

Assignment area	Status	Target Joint Independent Audit Committee
Victims – Code of Compliance	Scope issued to the Executive Lead Fieldwork scheduled for week commencing the 3 rd July	September 2017
Human Resources: Recruitment	Scope issued to the Executive Lead Fieldwork scheduled for week commencing the 10 th July	September 2017
Risk Management Work Shop	Scope issued to the Executive Lead Date of workshop to be agreed with management	No formal report will be produced. Verbal update to Sept 2017.
Partnerships	Fieldwork scheduled for week commencing the 24 th July	September 2017
Follow Up of Previous Internal Audit Recommendations	Fieldwork scheduled for week commencing the 21 st August	December 2017
Restorative Justice	Fieldwork scheduled for week commencing the 2 nd October	December 2017
Key Financial Controls	Fieldwork scheduled for week commencing the 2 nd October	December 2017
Exhibit Checks	Fieldwork scheduled for week commencing the 2 nd October	December 2017
Automatic Number Plate Recognition (ANPR)	Fieldwork scheduled for week commencing the 23 rd October	December 2017
Integrated Offender Management	Fieldwork scheduled for week commencing the 23 rd October	December 2017
General Data Protection Regulation (GDPR) and Police Directive	Fieldwork scheduled for week commencing the 6 th November	March 2018
Data Quality	Fieldwork scheduled for week commencing the 20 th November	March 2018
Complaints	Fieldwork scheduled for week commencing the 27 th November	March 2018
Follow Up of Previous Internal Audit Recommendations	Fieldwork scheduled for week commencing the 8 th January	March 2018
Shift Patterns – Control Room	Fieldwork scheduled for week commencing the 5 th February	March 2018

Procurement

Fieldwork scheduled for week commencing the 5th
February

March 2018

FOR FURTHER INFORMATION CONTACT

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