



Internal Audit Recommendations Tracker

Audit Title	Internal Audit Opinion	Date Reported to JIAC	No of Recs	No of Recs Completed	Live Recs	Overdue/ Exceptions	Remarks
Chief Officer Salaries, Allowances & Expenses	Substantial assurance	June 2017	1	0	1	1	The timelines for implementing the electronic expenses system has moved out due to the need to fully evaluate the scope of the solution and the IT system changes required.
Follow Up	Good Progress	June 17	0	0	0	0	No recommendations from audit
Digital Forensic Unit Quality Manual and ISO17025	Reasonable assurance	June 2017	5	5	0	0	Audit closed
Collaborations	Partial Assurance (Odyssey) Reasonable assurance (Regional Scientific Support)	March 2017	4	4	0	0	Audit closed

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Annual Petty Cash	Substantial assurance	March 2017	4	4	0	0	Audit closed
Key Financial Systems: Creditors, Debtors, Cash & Bank and Investments Issues	Substantial assurance	March 2017	5	4	1	0	Audit ongoing
Commissioning Audit 2016/17	Reasonable assurance	March 2017	9	9	0	0	Audit closed
Complaints Audit 16/17	Reasonable assurance	March 2017	4	2	2	0	Audit ongoing
Data Quality including Governance	Partial assurance	December 2016	4	4	0	0	Audit closed
MOPI MMI Project	Substantial assurance	December 2016	2	2	0	0	Audit closed
Seized Cash Spot Check	Reasonable assurance	December 2016	3	1	2	2	These two recommendations are extended due to the requirement to training new staff and ensure they are fully versed with banking processes.
Overtime, Bonus & Honoraria	Reasonable assurance	December 2016	3	3	0	0	Audit closed
Governance - Structure, Reporting, Challenge and Decision Making	Reasonable assurance	September 2016	9	6	3	0	Audit ongoing
HR Training	Reasonable assurance	September 2016	3	2	1	0	Audit ongoing
Internal Audit Follow up 2. 16/17	Reasonable assurance	September 2016	6	5	1	0	Audit ongoing

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MTFP	Substantial assurance	June 2016	0	0	0	0	No recommendations from audit
Payroll & Expenses	Substantial assurance	June 2016	5	4	1	1	The reconciliation has been delayed within payroll, due to loss of payroll team members, capacity and resource knowledge coupled with the additional demand of training new starters in the payroll team.
Origin Phase 4	Substantial assurance	June 2016					No recommendations from audit
Follow-up	Good progress	June 2016					No recommendations from audit
Risk Maturity	Advisory Review		5	5	0	0	Audit closed
Appropriate Use of Places of Safety	Limited Assurance	December 2015	11	7	4	0	Audit ongoing
Exhibits Handling	Limited Assurance	Final Report issued December 2015. Internal Audit WYPCC gave overview to JIAC Chair	15	11	4	0	Audit ongoing

Those 'Limited Assurance' are from our previous Auditor West Yorkshire PCC