

AGENDA ITEM 14

JOINT INDEPENDENT AUDIT COMMITTEE

19 March 2019

ANNUAL GOVERNANCE STATEMENT 2017/18 AREA FOR DEVELOPMENT PROGRESS REPORT

1. PURPOSE OF REPORT

- 1.1 This paper provides the Joint Independent Audit Committee (JIAC) with a brief summary of the progress made with the areas for development captured in the Annual Governance Statement (AGS) for 2017/18. This report pertains to the “Internal Control Environment” aspect of the JIAC terms of reference.

2. AREAS FOR DEVELOPMENT

- 2.1 There were four areas for development identified during the review of governance arrangements undertaken to inform the AGS for 2017/18. A summary of the progress made with each of those is set out in the table below.

Area For Development	Lead	Target Completion Date	Remarks
<p>1. Undertake a review of the Financial Regulations, Contract Regulations and Property Procedure rules and attendant protocols. Adjust where necessary to ensure proportionate levels of empowerment and responsibility and enhance decision making and budget management in relation to areas such as overtime.</p>	<p>PCC CFO</p>	<p>30 September 2019</p>	<p>The Financial Regulations were reviewed and no substantive revisions were required. The Contract regulations were subject to some refinement following some internal audit activity. These shall be subject to further review over time to closer align the provisions of the PCC arrangements and those of NY Fire and Rescue Service</p>
<p>2. Monitor the implications for the governance framework arising from changes to both police sector collaboration and collaboration with the fire and rescue service.</p>	<p>CEO</p>	<p>31 December 2019</p>	<p>Appropriate provisions have been made with a discrete Code of Corporate Governance prepared for the s.4 Fire Authority.</p> <p>Since the transfer of governance of North Yorkshire Fire and Rescue Service (NYFRS) to the North Yorkshire Police, Fire and Crime Commissioner Fire and Rescue Authority, work has been developing as part of the transitional arrangements to include the public scrutiny and accountability of NYFRS within the PAM. Therefore, steps are being taken to ensure the topics covered within the PAM meetings include themes from both the Police and Crime Plan priorities for North Yorkshire Police (NYP) and the Fire and Rescue Plan priorities for NYFRS. Currently, the Fire and Rescue Plan is in production and is at consult</p>

			<p>phase for publication in April 2019. The PAM Terms of Reference are being updated to include scrutiny and accountability across service delivery for both NYP and NYFRS and to ensure items within the agenda such as the performance packs and service oversight are designed to satisfy appropriate scrutiny by the Commissioner, with the public in mind.</p> <p>In light of recent changes and transitional work, the new ways of working for PAM are being developed with a target implementation of 30 April 2019 to ensure that the necessary work is carried out to include the scrutiny and accountability role of PAM within amended Terms of Reference. These Terms of Reference will make reference to the role of PAM in scrutinising the Police and Crime Plan and the Fire and Rescue Plan moving forwards.</p>
<p>3. Refine the process for reporting on benefits realisation to ensure an appropriate level of oversight and awareness</p>	<p>CEO</p>	<p>31 March 2019</p>	<p>Development of local benefits realisation and management practices is being undertaken in two main strands.</p> <p>Firstly the National Police Chiefs Council (NPCC) has a Business Change Council a group of which has a “benefits realisation” sub group that senior North Yorkshire Police colleagues attend. As part of that work there is a “Benefits Realisation Practitioner Group” which we are actively engaged with. The aim of the national benefits realisation work is to develop a common set of agreed principles and approach.</p>

			Secondly benefits realisation is one of the areas of work that has been considered within the Transformation work.
4. Monitor the implementation of management action arising from audit and inspection outcomes on Data Quality and Crime Data Integrity to ensure improvement in those areas.	DCC	31 March 2019	<p>Oversight of both Crime Data Integrity and Data Quality has been monitored through the Crime Data Integrity Improvement Group.</p> <p>Good progress has been made in relation to the action plan. In late January the Force publicised the fact that the crime data integrity improvement had contributed to a 13% rise in recorded crime in North Yorkshire following the publication of the Office of National Statistics' (ONS) bulletin "Crime in England in Wales, year ending September 2018".</p> <p>An upward adjustment in the crime figures was expected on the back of the extensive program of work that has been undertaken to improve crime recording processes.</p> <p>This included a dedicated team that was put together to drive the necessary changes, which has seen a roll-out of additional guidance for officers and staff and within the Force Control Room.</p>

3. LEVEL OF ASSURANCE

- 3.1 JIAC are invited to determine the level of assurance that can be drawn from this report.

**Risk & Assurance Manager
12 March 2019**