

NORTH YORKSHIRE POLICE,
FIRE AND CRIME COMMISSIONER/
NORTH YORKSHIRE FIRE AND RESCUE SERVICE
Independent Audit Committee

Meeting: Joint Independent Audit Committee
Time and date: Thursday 28th November 2019, 11:00-13:00
Location: Haigh Meeting Room, Ground Floor, Alverton Court
Chair: Asim Ashraf (AA)

Attendees:

Name	Role
John Foster (JF)	Interim Deputy Chief Fire Officer
Michael Porter (MP)	PFCC's Chief Finance Officer
Stuart Simpson (SS)	Head of Risk Management
Stephen Fox (SF)	Independent Member
Andrew Mchutchon (AM)	Independent Member
David O'Brien (DO)	Independent Member
Stuart Cutts (SC)	Veritau
Clare Godfrey (DS)	Head of Finance & Administration
Gavin Barker (GB)	Mazars
Abi Medic (AM)	Mazars
Shain Yapp (SY)	Personal Assistant to the Commissioner's Chief Finance Officer (Minute taker)

Apologies:

Name	Role
Ray Ward (RW)	Managing Director

Items and Decisions:

No.	Discussion	Outcome / Decision
1.	<u>Welcome</u>	
2.	<u>Attendance and apologies</u> It was noted a quorum of the Committee was present.	Noted
3.	<u>Declaration of Interest</u> There were no declarations of interest.	For information

No.	Discussion	Outcome / Decision
4.	<u>Minutes of Previous meeting</u> Approved	Noted
5.	<u>Actions of previous meeting</u> Actions to be picked up by the chair outside of the meeting due to time constraints.	
6.	<u>Internal Audit Progress Report</u> SC gave a snapshot of the reports and opened up to questions. The committee were updated that work on MDT replacement project is on track to finalise. AA has requested the Audit committee have visibility of the DPO outcome of checks. MP has advised he will have the 20/21 draft plan ready for the next meeting to share with the committee. <u>Internal Audit Charter</u> Noted	Noted Noted Noted
7.	<u>External Audit letter</u> <ul style="list-style-type: none"> Annual Audit letter MP commented on the financial situation, advising the committee from an expenditure perspective good progress has been made in term of the longer term financial plan. Additional fee Additional fees were exceptional for 18/19 and with the agreement of the Finance Officers of the PFCC and CC the JIAC agreed to the increased fee. 	Noted Noted
8.	<u>Annual review of Risk Management</u> Private discussion	
9.	<u>Professional Standards Report</u> Private discussion	
10.	<u>AOB</u>	
11.	<u>Dates of future meetings</u> 17 th March 19 th May 2020 21 st July 2020 November 2020	

Actions Agreed:

No.	Action / Update	Owner	Date Issued	Due Date	Date Closed
09a	<p><u>Risk Management Governance Group updates / Risk Management Quarterly Update</u></p> <p>It was requested by the members that additional details in the 'Actions' need to be shown in the report; this to enhance their understanding. JF offered to add the scoring matrix and rational for additional clarity.</p>	JF	23.07.2019	28.11.2019	
09b	<p>It was agreed that that a separate meeting would be advantageous to go through the Risk Register in detail. Conditional upon copies of the risk register being shared at least 1 week in advance.</p> <p>09.03.2020; Due to the internal governance structure being introduce from 1st April 2020, RMG will cease and risk management will become an agenda item on the new Tactical Leadership Team meetings. The team will review the risk register and feed by exception any outstanding risks to the Senior Leadership Team.</p>	JF	23.07.2019	17.03.2020	
		JF	28.11.2019	17.03.2020	

The next meeting of the IAC will take place at 11:00 on 17th March 2020 in the Haigh Meeting Room, 1st Floor Alverton Court