North Yorkshire JIAC Work Planner   Last revised : September 2020

	AGENDA ITEM / ISSUE	ToR	INDICATIVE DATES 2020/2021				
			Sep-20	Dec-20	Mar-21	Jun-21	Annual Review
	QUARTERLY ITEMS						
1	Internal Audit Reports and Plan Progress Update	Internal Audit	*	*	*	*	
2	External Audit Update	External Audit	*	*	*	*	
3	Audit Recommendations Tracker	Internal Control Framework	*	*	*	*	
4	In Year Financial Monitoring and MTFP	Financial Reporting	*	*	*	*	
5	HMICFRS Reports	Inspection & Review	*	*	*	*	
6	HMICFRS Recommendations Tracker	Internal Control Framework	*	*	*	*	
7	Risk Register and Business Continuity Overview	Corporate Risk Management	*	*	*	*	
14	Civil Claims Overview	Civil Claims	*	*	*	*	
15	Information Assurance Report	Information Governance	*	*	*	*	
	ANNUAL ITEMS						
8	Approval of Internal Audit Programme	Internal Audit			*		
9	Agreement of External Audit Plan and fees	External Audit			*		
10	Annual Internal Audit Report(s) and Opinion(s)	Internal Audit				*	
11	Previous Annual Governance Statement Area for Development Update	Internal Control Framework			*		
12	Draft Accounts and Annual Governance Statement	Financial Reporting / Internal Control Environment				*	
13	Final Accounts and Annual Governance Statement	Financial Reporting / Internal Control Environment	*				
16	JIAC Annual Report	Internal Control Framework	*				
18	Review of Accounting Policies	Financial Reporting			*		
20	Civil Claims Detailed Analysis	Civil Claims	*				
	ITEMS AS REQUIRED						
17	Cyber Security and Information Risk Guidance for Audit Committees	Information Governance	Dec-17				
19	GDPR Preparation	Information Governance	Mar-18				
21	Overview of JIAC annual report, AGS and PEEL Report	Financial Reporting / Internal Control	May-19				
22	Deep dive financial statements explanation	Financial Reporting	Jul-18				
23	Meet with Auditors (without executive)	External & Internal Audit	Nov-18				
24	Transform 2020 detailed presentation	Internal Control Environment	Mar-19				
25	HMICFRS deep dive	Inspection & Review	May-19				
26	Civil Claims Deep Dive	Civil Claims	Jul-19				
27	Trnsform 2020 & Enable Deep Dive	Internal Control Environment	Nov-19				
28	RSM Overview / Discussion on Sector Briefing	Internal Audit	TBC				
29	Enable NY - Overview and update on progress with audit actions.	Internal Control Environment	Sep-20				
30	Confidential Reporting (Whistleblowing)		TBC				