

	AGENDA ITEM / ISSUE	ToR	INDICATIVE DATES 2020/2021				
			Dec-20	Mar-21	Jun-21	Annual Review	Sep-21
QUARTERLY ITEMS							
1	Internal Audit Reports and Plan Progress Update	Internal Audit	*	*	*		*
31	Sector Update	Internal / External Audit	*	*	*		*
2	External Audit Update	External Audit	*	*	*		*
3	Audit Recommendations Tracker	Internal Control Framework	*	*	*		*
4	In Year Financial Monitoring and MTFP	Financial Reporting	*	*	*		*
5	HMICFRS Reports	Inspection & Review	*	*	*		*
6	HMICFRS Recommendations Tracker	Internal Control Framework	*	*	*		*
7	Risk Register and Business Continuity Overview	Corporate Risk Management	*	*	*		*
14	Civil Claims Overview	Civil Claims	*	*	*		*
15	Information Assurance Report	Information Governance	*	*	*		*
ANNUAL ITEMS							
8	Approval of Internal Audit Programme	Internal Audit		*			
9	Agreement of External Audit Plan and fees	External Audit		*			
10	Annual Internal Audit Report(s) and Opinion(s)	Internal Audit			*		
11	Previous Annual Governance Statement Area for Development Update	Internal Control Framework		*			
12	Draft Accounts and Annual Governance Statement	Financial Reporting / Internal Control Environment			*		
13	Final Accounts and Annual Governance Statement	Financial Reporting / Internal Control Environment					*
16	JIAC Annual Report	Internal Control Framework					*
18	Review of Accounting Policies	Financial Reporting		*			
20	Civil Claims Detailed Analysis	Civil Claims					*
ITEMS AS REQUIRED							
17	Cyber Security and Information Risk Guidance for Audit Committees	Information Governance				Dec-17	
19	GDPR Preparation	Information Governance				Mar-18	
21	Overview of JIAC annual report, AGS and PEEL Report	Financial Reporting / Internal Control				May-19	
22	Deep dive financial statements explanation	Financial Reporting				Jul-18	
23	Meet with Auditors (without executive)	External & Internal Audit				Nov-18	
24	Transform 2020 detailed presentation	Internal Control Environment				Mar-19	
25	HMICFRS deep dive	Inspection & Review				May-19	
26	Civil Claims Deep Dive	Civil Claims				Jul-19	
27	Trnsform 2020 & Enable Deep Dive	Internal Control Environment				Nov-19	
28	RSM Overview / Discussion on Sector Briefing / Meeting without Executive	Internal Audit				Sep-20	
29	Enable NY - Overview and update on progress with audit actions.	Internal Control Environment				Sep-20	
30	Confidential Reporting (Whistleblowing)	Regulatory Framework				Mar-21	
32	ICT Overview / Audit action progress	Internal Audit				Dec-20	