

**North Yorkshire Police
Record of Meeting**



Meeting: Joint Independent Audit Committee
Time and date: Tuesday 15th December 2020
Location: Teams meeting
Chair: Jason Brine

Attendees:

Name	Role
LW	Chief Constable
HC	Member
HF	Member
RW	Managing Director
MP	PFCC's Chief Finance Officer
PC	Deputy Chief Constable
MC	Head of Finance & Section 151 Officer
DS	Risk & Assurance Manager
GB	Mazars
PCh	Internal Audit (RSM)
SC	HMICFRS Force Liaison Lead
SY	Personal Assistant to the Chief Officer Team

Apologies:

Name	Role
AA	Member
DH	Internal Audit (RSM)

Items and Decisions:

No.	Discussion	Outcome / Decision
523	<u>Attendance, Apologies and Welcome</u> Apologies were recorded DH, AA. It was noted a quorum of the Committee was present.	
524	<u>Declaration of Interest</u> MT tabled his declaration of Interest.	For information
525	<u>Minutes of previous meeting</u> The minutes were approved for publication.	For information
526	<u>Matters arising</u> There were no matters arising from the previous meeting that were not included on the agenda for this meeting.	For information

No.	Discussion	Outcome / Decision
	<p>The Chair reported that prior to the meeting the JIAC Members met with Gordon McQueen, Head of Information Communication and Technology to review current and historic audits and outstanding actions.</p> <p>It was suggested the JIAC record any assurance gained from pre meets with individual departments for completeness.</p>	Action 29
527	<p>Internal Audit Reports and Plan Progress</p> <p>For discussion: Members were asked to review the six reports and provide feedback and observations to support further assurance and next steps</p> <ul style="list-style-type: none"> Progress Paper HF questioned how confident RSM was that the inspection plan would be completed in the agreed timescale; and what the impact Covid 19 has had thus far. PCh advised that adopting a different way of working, has allowed the audits to proceed in the planned timeframe. Vulnerability and Incident Response report Private discussion PCh outlined that the report was compiled by an IT specialist, the members offered praise on the complexities and depth of the report and having had the time with GM at the pre-meet they felt confident on the progression. Key Financial Controls: Procurement Report MC advised that the Strategic Commercial Board has now been launched with meeting to be held monthly from January, Terms of Reference will be set on the 14th January Overtime, Bonus and Honorarium Payments report No questions Bail Management report No questions Emergency Services Briefing Paper The Chair led a discussion on how the matters covered in the December 2020 briefing were considered by JIAC and included in the agenda and business of the meeting. It was agreed at each meeting this would be reviewed. No questions 	<p>Sensitive</p> <p>Reasonable Assurance</p> <p>Substantial Assurance</p> <p>Reasonable Assurance</p> <p>Action 30</p>
528	External Audit	Noted

No.	Discussion	Outcome / Decision
	<p>For information / discussion: Members are asked to consider the follow Up letter addressed to the PFCC and CC Concluding the Audit</p> <p>GB reported that Mazars, as expected, gave an unqualified opinion on the financial statements, and issued an unqualified value for money conclusion.</p> <p>GB, MP, and MC thanked the Finance department for their hard work and for producing the accounts in a timely manner under difficult circumstances.</p>	
529	<p><u>Audit Recommendations Tracker</u></p> <p>DS provided an update on the tracker, sharing with the committee the changes captured and the actions that have been closed off, advising the committee that actions in the spotlight are picked up in Risk and Assurance Board.</p> <p>JB asked why the impact of HR systems were not captured for volunteers on the ICT system and how this then reflects inaccurate failings.</p>	Noted
530	<p><u>In Year Financial Monitoring and MTFP</u></p> <p>MP gave an update on the current situation, with the expectation to receive police settlement figures imminently.</p> <p>Risk is reflected as high due to uncertainties of final figures; however, the expectations are that all elements will come together in the next six weeks, including the decisions on the increase of the local precept.</p> <p>MP advised that the lack of information is causing concern.</p>	Noted
531	<p><u>HMICFRS Reports</u></p> <p>DS advised SC had observed at the Risk and Assurance Board which the DCC Chairs.</p> <p>SC shared with the committee that the PEEL assessment is due in 2022 and offered his thanks for the welcome he had received from the organisation. PC advised that SC would be meeting with the Chief Officer Team individually over the next few weeks as well as observing meetings.</p>	Noted
532	<p><u>Risk Register and Business Continuity Overview</u></p> <p>HF queried if the 'financial risk' had been captured? DS advised this would be added imminently.</p> <p>Private discussion</p>	Noted
533	<p><u>Civil Claims Detailed Analysis Overview</u></p> <p>No questions</p>	Noted
534	<p><u>Information Assurance Report</u></p> <p>No questions</p> <p>PC provided an update on training and shared the percentage of completion.</p> <p>FOI request: staffing has increased which has allowed the backlog to be reduced considerably.</p>	Noted

No.	Discussion	Outcome / Decision
535	<u>JAC Forward Planner</u> Noted	
536	<u>AOB</u> The Chief Constable shared that it has been an extraordinary year and the fact that NYP had delivered a service which had been exemplary.	
537	<u>Date of future meeting</u> 22 nd June 2021 21 st September 2021 14 th December 2021 15 th March 2022	
538	The next meeting of the JAC will take place on Tuesday 16 th March 2021 at 14.00hrs in the Haigh Meeting Room, 2 nd Floor Alverton Court.	

Actions Agreed:

No.	Action / Update	Owner	Date Issued	Date Closed
27	Chair requested that ICT Service to be called back by Committee in December for an update on progress, this to be added to the forward planner	DS	31.03.2020 CF/ 23.06.2020	15.09.2020
29	Chair and DS to consider method of capturing / recording assurance received by JAC outside formal meetings	DS	15.12.2020	
30	Chair and DS to consider method of considering RSM and any other Briefings to ensure JAC agenda and business captures the learning.	DS	15.12.20	