North Yorkshire JIAC Work Planner   **Last revised : May 21**

	AGENDA ITEM / ISSUE	ToR	DATE	ASSURANCE
	ITEMS AS REQUIRED			
	Meet with Auditors (without executive)	External & Internal Audit	Jun-20	
30	Confidential Reporting (Whistleblowing)	Regulatory Framework	June 21 (deferred from March 21)	Meeting with MW, Head of Professional Standards to consider and seek assurance, as required by the ToR to: "Monitor the policies of both the Commissioner and Chief Constable on the making of protected disclosures (whistleblowing) and any anti-fraud & anti-corruption strategy."
32	ICT Overview / Audit action progress	Internal Audit	Dec-20	Meeting with GM, Head of ICT to consider and seek assurance on: * Review of specific issues and actions following RSM audit reports: 1. 11.19/20 Service Operations 2. 3.20/21 Vulnerability and Incident Response * High and medium priority actions with updates on implementation and challenges * Impact of COVID-19 on ICT operation and delivery Assurance obtained that appropriate organisational team in place, AFIs identified and real progress being made in completing agreed actions. No specific concerns to draw to the PFCC's or CC's attention.
28	RSM Overview / Discussion on Sector Briefing / Meeting without Executive	Internal Audit	Sep-20	NB: Deferred from 03/20 and 06/20 due to COVID-19 Emergency Response Meeting with RSM dealing with: * Meeting independent of PFCC, CC and Executive as required in the ToR to ensure opportunity for concerns / issues to be raised and considered * JIAC steps and best practice to utilise RSM's Sector Briefing including apportunities to obtain further assuraances where required
29	Enable NY - Overview and update on progress with audit actions.	Internal Control Environment	Sep-20	NB: Deferred from 03/20 and 06/20 due to COVID-19 Emergency Response Meeting with Enable SLT to consider and seek assurance on: * Understand the new team, organisational structure and responsibilities * Opportunity to consider COVID-19 impact on internal health & wellbeing (HR) * Disaster recovery update (ICT): agreed for a later specific deep dive.
27	Transform 2020 & Enable Deep Dive	Internal Control Environment	Nov-19	
26	Civil Claims Deep Dive	Civil Claims	Jul-19	
21	Overview of JIAC annual report, AGS and PEEL Report	Financial Reporting / Internal Control	May-19	
25	HMICFRS deep dive	Inspection & Review	May-19	
24	Transform 2020 detailed presentation	Internal Control Environment	Mar-19	
23	Meet with Auditors (without executive)	External & Internal Audit	Nov-18	
22	Deep dive financial statements explanation	Financial Reporting	Jul-18	
19	GDPR Preparation	Information Governance	Mar-18	
17	Cyber Security and Information Risk Guidance for Audit Committees	Information Governance	Dec-17	