20/21.09   Complaints   Reasonable Assurance   March 2021   Medium   5   2   3   3   2   3   3   3   3   3   3	Audit Ref	Audit Title	Internal Audit Opinion	Month Reported to JIAC	Priority Of Agreed Action	Agreed Actions	Completed Actions	Actions On Track	Actions Overdue / Exception
20/21.08   Follow Up of Previous Internal Audit Management Actions - Visit 2   Reasonable Assurance   December 2020   High   1   1   1   1   1   1   1   1   1	20/21.09	Complaints	Reasonable Assurance	March 2021					
Actions - Visit 2   Reasonable Progress   March 2021   Medium   3   3   3   3   3   3   3   3   3		<u> </u>			Low	5	2	3	
Medium   S   3   2   2   2   2   2   2   2   2   2	20/21.08	•	Reasonable Progress	March 2021	Medium	3		3	
20/21.05   Overtime, Bonus and Honorarium Payments   Substantial Assurance   December 2020   Low   3   2   1   1   1   1   1   1   1   1   1	20/21.06	Bail Management	Reasonable Assurance	December 2020					
20/21.05   Overtime, Bonus and Honoranum Payments   Substantial Assurance   December 2020   Low   3   2   1   3   3   3   3   3   3   3   3   3	20, 21.00							2	
20/21.04   Key Financial Controls: Procurement   Reasonable Assurance   December 2020   Medium   3   3   3   3   3   3   3   3   3	20/21.05	Overtime, Bonus and Honorarium Payments	Substantial Assurance	December 2020	Medium				
Reasonable Assurance   December 2020   Low   2   1   1   1   1   1   1   1   1   1	20, 22.00						2		
1	20/21.04	Key Financial Controls: Procurement	Reasonable Assurance	December 2020	Medium				3
20/21.03   Vulnerability and Incident Response   Partial Assurance   December 2020   Medium   4					Low	2		1	1
Low   5   3   3   3   3   3   3   3   3   3	20/21.03	Vulnerability and Incident Response	Partial Assurance	December 2020	High	1	1		
Collaborations   Reasonable Assurance   September 2020   Low   1   1   1   1   1   1   1   1   1					Medium	4			4
Collaborations   Reasonable Assurance   September 2020   Low   1   1   1   2   2   2   2   2   2   2						5			3
20/21.01   Follow Up 2020 2021 Visit 1   No Opinion Given   September 2020   Medium   4   1   2	20/21.02	Collaborations	Reasonable Assurance	·	Medium	5	2		3
19/20.14   Policies and Procedures   Partial Assurance   June 2020   Medium   4   3   3   3   3   3   3   3   3   3						1	1		
19/20.14   Policies and Procedures   Partial Assurance   June 2020   Low   3   3   3   3   3   3   3   3   3	20/21.01	Follow Up 2020 2021 Visit 1	No Opinion Given	September 2020	Medium	4	1		2
19/20.13   Business Continuity Planning   Partial Assurance   June 2020   High   1   1   1   1   1   1   1   1   1	19/20 14	Policies and Procedures	Partial Assurance	June 2020	Medium	4	3		1
19/20.13   Business Continuity Planning   Partial Assurance   June 2020   Medium   2   2   2   1   1   1   1   1   1   1	13,20.11				Low	3	3		
19/20.12   Follow Up 19/20 Visit 2   No Opinion Given   March 2020   Medium   2   1   1   1   1   1   1   1   1   1		, ,	Partial Assurance	June 2020	High	1		1	
19/20.12       Follow Up 19/20 Visit 2       No Opinion Given       March 2020       Medium       2       1       1         19/20.03       Positive Action Plan       Reasonable Assurance       July 2020       Medium       4       1       3         18/19.14       SharePoint Security       Reasonable Assurance       May 2019       Medium       2       1       1         18/19.13       Follow Up 18/19 Visit 2       No Opinion Given       March 2019       Medium       5       4       1         18/19.11       Transport: Hire and Pool Vehicles       Partial Assurance       March 2019       Medium       5       3       2         18/19.01       Transparency: Specified Information Order       Reasonable Assurance       July 2018       Medium       3       1       2	19/20.13				Medium	2		2	
19/20.03         Positive Action Plan         Reasonable Assurance         July 2020         Medium         4         1         3           18/19.14         SharePoint Security         Reasonable Assurance         May 2019         High         1         1           18/19.14         SharePoint Security         Reasonable Assurance         May 2019         Medium         2         1         1           18/19.13         Follow Up 18/19 Visit 2         No Opinion Given         March 2019         Medium         5         4         1           18/19.11         Transport: Hire and Pool Vehicles         Partial Assurance         March 2019         Medium         5         3         2           18/19.01         Transparency: Specified Information Order         Reasonable Assurance         July 2018         Medium         3         1         2						4		4	
19/20.03   Positive Action Plan   Reasonable Assurance   July 2020   Low   1   1   1   1   1   1   1   1   1	19/20.12	Follow Up 19/20 Visit 2	No Opinion Given	March 2020	Medium	2	1		1
Low     1     1       18/19.14     SharePoint Security     Reasonable Assurance     May 2019     Medium     2     1     1       18/19.13     Follow Up 18/19 Visit 2     No Opinion Given     March 2019     Medium     5     4     1       18/19.11     Transport: Hire and Pool Vehicles     Partial Assurance     March 2019     Medium     5     3     2       18/19.01     Transparency: Specified Information Order     Reasonable Assurance     July 2018     Medium     3     1     2	19/20.03	Positive Action Plan	Reasonable Assurance	July 2020	Medium	4	1		3
18/19.14       SharePoint Security       Reasonable Assurance       May 2019       Medium       2       1       1         18/19.13       Follow Up 18/19 Visit 2       No Opinion Given       March 2019       Medium       5       4       1         18/19.11       Transport: Hire and Pool Vehicles       Partial Assurance       March 2019       Medium       5       3       2         18/19.01       Transparency: Specified Information Order       Reasonable Assurance       July 2018       Medium       3       1       2					Low	1	1		
18/19.13   Follow Up 18/19 Visit 2   No Opinion Given   March 2019   Medium   5   4   1	18/19.14	SharePoint Security	Reasonable Assurance	May 2019	High	1	1		
18/19.13     Follow Up 18/19 Visit 2     No Opinion Given     March 2019     Medium 5 Low 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1					Medium	2	1		1
18/19.13       Follow Up 18/19 Visit 2       No Opinion Given       March 2019       Low       1       1         18/19.11       Transport: Hire and Pool Vehicles       Partial Assurance       March 2019       Medium       5       3       2         Low       2       2         Low       2       2         Medium       3       1       2					Low	3	3		
Low     1     1       18/19.11     Transport: Hire and Pool Vehicles     Partial Assurance     March 2019     Medium     5     3     2       Low     2     2       Low     2     2       Medium     3     1     2	18/19 13	Follow Up 18/19 Visit 2	No Opinion Given	March 2019	Medium	5	4		1
18/19.11 Transport: Hire and Pool Vehicles Partial Assurance March 2019  Low 2 2  18/19.01 Transparency: Specified Information Order Reasonable Assurance July 2018	10/15.15				Low	1	1		
18/19.01 Transparency: Specified Information Order Reasonable Assurance July 2018  Medium 3 1 2	18/19 11	Transport: Hire and Pool Vehicles	Partial Assurance	March 2019	Medium	5	3		2
18/19.01 Transparency: Specified Information Order Reasonable Assurance July 2018	10, 13.11				Low	2	2		
Low 9 9	18/19 01	Transparency: Specified Information Order	Reasonable Assurance	July 2018	Medium	3	1		2
	10, 15.01	Transparency. Specifica finormation order			Low	9	9		