

North Yorkshire Police  
Record of Meeting



**Meeting:** Joint Independent Audit Committee  
**Time and date:** Tuesday 20<sup>th</sup> July 2021  
**Location:** Teams meeting  
**Chair:** Max Thomas

**Attendees:**

Name	Role
PC	Deputy Chief Constable
HC	Member
HF	Member
RW	Managing Director
MP	PFCC's Chief Finance Officer
MC	Head of Finance & Section 151 Officer
DS	Risk & Assurance Manager
GB	Mazars
AM	Mazars
DH	Internal Audit (RSM)
MG	Internal Audit (RSM)
SY	Personal Assistant to the Chief Officer Team

**Apologies:**

Name	Role
DH	Internal Audit (RSM)

**Items and Decisions:**

No.	Discussion	Outcome / Decision
556	<b><u>Attendance, Apologies and Welcome</u></b> Apologies were recorded	
557	<b><u>Declaration of Interest</u></b> MT tabled his declaration of Interest.	
558	<b><u>Minutes of previous meeting</u></b> The minutes were approved for publication.	
559	<b><u>Matters arising</u></b> There were no matters arising from the previous meeting that were not included on the agenda for this meeting.	

No.	Discussion	Outcome / Decision
560	<p><b><u>Internal Audit Reports and Plan Progress</u></b></p> <ul style="list-style-type: none"> <li>• Progress paper</li> </ul> <p>DH provided an overview of the paper. No questions were raised.</p> <ul style="list-style-type: none"> <li>• HMICFRS</li> </ul> <p>No questions were raised</p> <ul style="list-style-type: none"> <li>• Ethics</li> </ul> <p>MG provided an overview to the members. HF asked about one of the points recorded, that testing was limited and if this was the case would this area be revisited again? DH advised this would be picked up in the follow-up.</p> <ul style="list-style-type: none"> <li>• Human Resources: Training - <b>Restricted item</b></li> </ul> <p>Private discussion. A Progress Report was requested by March or June 2022</p> <ul style="list-style-type: none"> <li>• Annual report</li> </ul> <p>HF asked DH if the response on surveys was sufficient? DH assured the committee that NYP were good at responding. The Chair was complimentary on a good report.</p> <ul style="list-style-type: none"> <li>• Emergency Services News Briefing</li> </ul> <p>No questions</p> <ul style="list-style-type: none"> <li>• Managing Risk</li> </ul> <p>No questions raised</p>	
561	<p><b><u>External Audit</u></b></p> <ul style="list-style-type: none"> <li>• Audit Progress Report</li> </ul> <p>GB provided his overview, sharing that pressures of staffing are impacting on the completion of the 2020/21 audit. The work is now expected to be completed by the end of November 2021.</p>	
562	<p><b><u>Audit Recommendations Tracker</u></b></p> <p>No questions raised.</p>	
563	<p><b><u>In Year Financial Monitoring and MTFP</u></b></p> <p>Report shared with the member for information and will be brought to the September meeting.</p>	
564	<p><b><u>Review of Accounting Policies</u></b></p> <p>No questions raised</p>	
565	<p><b><u>HMICFRS Reports &amp; Recommendations Tracker</u></b></p> <p>No questions raised</p>	
566	<p><b><u>Risk Register and Business Continuity Overview</u></b></p> <p><b>Private discussion</b></p>	
567	<p><b><u>Civil Claims Detailed Analysis Overview</u></b></p> <p>No questions raised</p>	
568	<p><b><u>Information Assurance Report</u></b></p> <p>No questions</p>	
569	<p><b><u>Draft Accounts and Annual Governance Statement</u></b></p> <p>a. Draft Accounts</p>	

No.	Discussion	Outcome / Decision
	MP is confident that the Draft Accounts will be shared in the September meeting. b. AGS Development Report HF complimented DS on the format of the report, saying it was easy to read and understand.	
570	<u>JIAC Forward Planner</u> Noted	
571	<u>Terms of reference</u> TORs will be reviewed on recruitment of Chair and additional members.	
572	<u>AOB</u>	
573	<u>Date of future meeting</u> 14 <sup>th</sup> December 2021 15 <sup>th</sup> March 2022 21 <sup>st</sup> June 2022 20 <sup>th</sup> September 2022 13 <sup>th</sup> December 2022 21 <sup>st</sup> March 2022 20 <sup>th</sup> June 2022	
574	The next meeting of the JIAC will take place on Tuesday 5 <sup>th</sup> October 2021 at 14.00 hrs via Teams.	

**Actions Agreed:**

<b>No.</b>	<b>Action / Update</b>	<b>Owner</b>	<b>Date Issued</b>	<b>Date Closed</b>
31	June, members only pre meet to include RSM & Mazars 13:30 14:00	SY	16.03.2021	16.03.2021
32	MW to be invited to the JIAC pre meet at the June meeting	SY	16.03.2021	16.03.2021