

**North Yorkshire Police
Record of Meeting**



Meeting: Joint Independent Audit Committee
Time and date: 5th October 2021
Location: Teams meeting
Chair: Max Thomas

Attendees:

Name	Role
PC	Deputy Chief Constable
HC	Member
HF	Member
SD	CEO OPFCC
RW	Managing Director
MP	PFCC's Chief Finance Officer
MC	Head of Finance & Section 151 Officer
DS	Risk & Assurance Manager
GB	Mazars
AM	Mazars
LF	Risk Manager
DH	Internal Audit (RSM)
MG	Internal Audit (RSM)
SY	Personal Assistant to the Chief Officer Team

Apologies:

Name	Role
DH	Internal Audit (RSM)
LW	Chief Constable

Items and Decisions:

No.	Discussion	Outcome / Decision
575	<u>Attendance, Apologies and Welcome</u> Apologies were recorded	
576	<u>Declaration of Interest</u> MT tabled his declaration of Interest.	
577	<u>Minutes of previous meeting</u> The minutes were approved for publication.	
578	<u>Matters arising</u>	

No.	Discussion	Outcome / Decision
	There were no matters arising from the previous meeting that were not included on the agenda for this meeting.	
579	<p>Internal Audit Reports and Plan Progress</p> <p>For discussion: Members are asked to review the seven reports and provide feedback and observations to support further assurance and next steps</p> <ul style="list-style-type: none"> • Progress Report <p>MT asked if there was risk of being unable to deliver the agreed actions on time, PC assured the committee that with the relaxation of Covid restrictions, they are back on site and see no issues in delivery.</p> <ul style="list-style-type: none"> • Projects – Capital Expenditure <p>RW offered assurance that the portfolio programme offices are thematically based, and the SRO's leading each theme are at management level, which is himself and both the ACCs. The importance of the senior responsible owner is that they will be able to clear the way for a project and manage that executive level assurance</p> <p>Follow Up of Previous Internal Audit Management Actions</p> <p>No questions raised</p> <ul style="list-style-type: none"> • Seized Exhibits <p>Private Item</p> <ul style="list-style-type: none"> • Emergency Services news briefings <p>No questions raised</p>	
580	<p><u>External Audit</u></p> <ul style="list-style-type: none"> • Audit Progress Report <p>GB provided his overview, sharing that pressures on staffing are impacting on the completion of the 2020/21 audit. The work is now expected to be completed by the end of November 2021.</p>	
581	<p><u>Audit Recommendations Tracker</u></p> <p>No questions raised.</p>	
582	<p><u>HMICFRS Reports & Recommendations Tracker</u></p> <p>No questions raised</p>	
583	<p><u>Risk Register and Business Continuity Overview</u></p> <p>Private discussion</p> <p>It was agreed to add Business Continuity overview as an annual event to the forward planner.</p>	

No.	Discussion	Outcome / Decision
584	<u>Civil Claims Detailed Analysis Overview</u> No questions raised	
	<u>Information Assurance Report</u> No questions raised	
585	<u>Final Accounts and Annual Governance Statement</u> No questions	
586	<u>JIAC Annual Report</u> Noted	
587	<u>JIAC Forward Planner</u> Noted	
588	<u>Terms of Reference</u> TORs will be reviewed on recruitment of Chair and additional members.	
589	<u>AOB</u>	
590	<u>Date of future meeting</u> 15 th March 2022 21 st June 2022 20 th September 2022 13 th December 2022 21 st March 2022 20 th June 2022	
591	The next meeting of the JIAC will take place on Tuesday 14 th December 2021 at 14.00 hrs via Teams.	

Actions Agreed:

No.	Action / Update	Owner	Date Issued	Date Closed
31	June, members only pre meet to include RSM & Mazars 13:30 14:00	SY	16.03.2021	16.03.2021
32	MW to be invited to the JIAC pre meet at the June meeting MW will be invited to the March 2022 meeting due to being unavailable for the December meeting	SY	16.03.2021	