

Audit Ref	Audit Title	Internal Audit Opinion	Month Reported to JIAC	Priority Of Agreed Actions	Agreed Actions	Completed Actions	Actions On Track	Actions Overdue / Exception
21/22.4	CIPFA Code of Practice – Financial Management	Advisory Review	Dec-21	Medium	2		2	
21/22.03	Freedom of Information	Partial Assurance	Dec-21	High	2		1	1
				Medium	6		4	2
				Low	1			1
21/22.02	Follow Up 21/22 Visit 1	Good Progress	October 2021	Medium	1		1	
21/22.01	Seized Exhibits	No Assurance	October 2021	High	3		3	
				Low	2			2
20/21.13	HR: Training	Partial Assurance	June 2021	Medium	10	1	4	5
				Low	2		1	1
20/21.12	Ethics	Reasonable Assurance	June 2021	Medium	1			1
				Low	3	2		1
20/21.10	Project Expenditure	Partial Assurance	Oct-21	High	1		1	
				Medium	7		3	4
20/21.09	Complaints	Reasonable Assurance	March 2021	Medium	1		1	
				Low	5	5		
20/21.08	Follow Up of Previous Internal Audit Management Actions – Visit 2	Reasonable Progress	March 2021	Medium	3	1	2	
20/21.04	Key Financial Controls: Procurement	Reasonable Assurance	December 2020	Medium	3	2		1
				Low	2		1	1
20/21.03	Vulnerability and Incident Response	Partial Assurance	December 2020	High	1	1		
				Medium	4	4		
				Low	5	4		1
20/21.02	Collaborations	Reasonable Assurance	September 2020	Medium	5	2		3
				Low	1	1		
19/20.13	Business Continuity Planning	Partial Assurance	June 2020	High	1			1
				Medium	2	1		1
				Low	4	4		
19/20.12	Follow Up 19/20 Visit 2	No Opinion Given	March 2020	Medium	2	1		1
18/19.14	SharePoint Security	Reasonable Assurance	May 2019	High	1	1		
				Medium	2	1		1
				Low	3	3		
18/19.01	Transparency: Specified Information Order	Reasonable Assurance	July 2018	Medium	3	1		2
				Low	9	9		