

North Yorkshire Police
Record of Meeting



Meeting: Joint Independent Audit Committee
Time and date: 15:00 21st March 2023
Location: Hybrid Meeting
Chair: Stuart Green

Attendees:

Name	Role
Roman Pronyszyn	Member – Vice Chair
Michael Porter	PFCC's Chief Finance Officer 151
Mike Clements	Head of Finance
Donald Stone	Risk Manager
Scott Bisset	Assistant Chief Constable
Abi Medic	Mazars
Simon Dennis	Chief Executive & Monitoring Officer
Dan Harrison	Internal Audit (RSM)
Heather Cook	Member
Holly Adams	Internal Audit (RSM)
Dave Anderson	Staff Officer
One member of the public joined via Teams	
Shain Yapp	Personal Assistant to the Chief Officer Team

Apologies:

Name	Role
Rena Brown	Managing Director (enableNY)
Lisa Winward	Chief Constable
Mabs Husain	Deputy Chief Constable
Jo Gleeson	Member
Caroline Blackburn	Governance and assurance Lead

Items and Decisions:

No.	Discussion	Outcome / Decision
681	<p><u>Attendance, Apologies and Welcome</u> Apologies were recorded.</p>	
682	<p><u>Declaration of Interest</u> RP declared his declaration of interest for the records. Roman Pronyszyn declared an interest as a Parish Councillor in an area covered by North Yorkshire Police and Fire services</p>	
683	<p><u>Minutes of previous meeting</u> The minutes were approved for publication.</p>	
684	<p><u>Matters arising</u> There were no matters arising from the previous meeting that were not included on the agenda for this meeting.</p> <p>For the record, Chair was pleased everything was out in the public domain. However, there is always a need to appreciate that confidential papers can be brought to this meeting.</p> <p>SD offered apologies that he had shared a late paper for member’s attention and asked if they could be added to the agenda at the June meeting for consideration.</p> <p>SD requested comments be made between the members.</p> <p>Action: Monitoring Officer protocol to be brought to June meeting</p>	<p>Action 55</p>
685	<p><u>Internal Audit</u></p> <ul style="list-style-type: none"> • Progress Report No questions raised • Fleet Management The report was shared prior to the meeting. Member asked for assurance for day-to-day management of the fleet is running smoothly, MP informed members they are waiting for an investment in a telematics system which will allow more proactive understanding of the usage of the fleet and more proactive management of vehicles needing servicing and repairs. ACC Scott Bisset responded to the member that the day-to-day management is very challenging but reassured members they are working with the operational team and front-line commands to improve this and make it more efficient. SG queried how members will receive assurance the issues/actions raised within the report are being addressed and improved. MP responded internally there will be a quarterly report to the executive board, therefore this could then be used within this meeting to provide members assurance. The Chair and members welcomed the suggestion. 	

No.	Discussion	Outcome / Decision
	<p>Action: MP to provide members with the internal audit submitted to the EB this to allow progress to be shared with members in relation to the Fleet Management Report.</p> <ul style="list-style-type: none"> • Draft internal Audit Plan <p>PC presented the Internal Audit Plan, RP raised the concern of recruitment within the police and noted the recent issues within the MET police staff and asked for reassurance that our systems are substantial enough to reduce the risk of potential bad police staff being hired. PC assured RP appropriate vetting and checks do take place.</p> <p>ACC Bissett reassured North Yorkshires process is very rigorous and nationally North Yorkshire were a pilot force for a process where monthly checks using a national database in relation to staff and officers was used and has now been encouraged as best practice across other forces. The Chair acknowledged that the risk base approach been applied is very clear and is very welcomed.</p> <ul style="list-style-type: none"> • Follow up Visit 	Action 56
686	<p><u>External Audit</u></p> <p>GB provided an update on the external audit. MP informed members the statement of accounts will be prepared for the end of May and will bring a draft set of the accounts to the committee in June for their information and overview. Once the audit has been completed the final set of accounts will be brought to the committee at the end of November.</p>	
687	<p><u>JIAC Forward Planner</u></p> <p>DS discussed the JIAC forward planner. The visits discussed at the previous meeting have been included and members will be able to join the sessions. A discussion will be had outside of this meeting to arrange some dates and times that suit members.</p>	
687	<p><u>2022-23 In Year Budget Monitoring</u></p> <p>Michael Porter discussed the budget monitoring. No questions were raised.</p>	
688	<p><u>2023-24 Budget and MTFP</u></p> <p>Michael Porter discussed the budget and MTFP. No questions were raised.</p>	
689	<p><u>Previous Annual Governance Statement Area for Development Update</u></p> <p>Donald Stone discussed the AGS report.</p>	
689	<p><u>Information Assurance Report</u></p> <p>DS discussed the Information Assurance report. DS asked members in the report from the SIRO that members requested how would they like to receive this to achieve the best assurance.</p> <p>MP referred to the meetings TOR and suggested an annual report would be most suited. Stuart Green asked Donald to investigate outside of the meeting.</p>	

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690	<u>Audit Recommendations Tracker</u> Donald Stone provided an update. Roman Pronyszyn queried how some of the issues were so overdue dating back to June last year, Donald stated this is due to pressure on resources and their desire to get them correct but they are ongoing. Michael Porter queried the actions in relation to fleet management, there are nine high agreed actions and eight are on track but should there be a concern about the remaining one. Donald Stone will investigate outside of the meeting.	
691	<u>HMICFRS Reports & Recommendations Tracker</u> Donald Stone discussed the HMICFRS report. No questions were raised. ACC Bissett will discuss with CC Lisa Winward the interaction between HMICFRS exchanging with another external auditor.	
692	<u>Risk Register and Business Continuity Overview</u> Donald Stone provided members with an update. ACC Scott Bissett discussed the process and reassured members the governance works appropriately.	
693	<u>Civil Claims Overview</u> Michael Porter discussed the report. No questions were raised.	
694	<u>Monitoring Officer Protocol Report</u> The report was shared prior to the meeting, SD requested that the members consider the document and it be brought back to the June meeting for further discussion.	
696	<u>AOB</u> No issues raised.	
697	<u>Date of future meeting</u> 19th September 2023 30th November 2023 19th March 2024	
698	The next meeting of the JIAC will take place on Tuesday 20 th June 2023 at 15.00 hrs	

Actions Agreed:

No.	Action / Update	Owner	Date Issued	Date Closed
51	<u>Matters Arising:</u> Seized Exhibits Internal Audit Report: Supt Willey to provide an update in the short to medium term. Confirming behavioural issues are embedded and the compliance issues are coming through. To be added to the forward planner	FW DS	29.11.22	Ongoing

No.	Action / Update	Owner	Date Issued	Date Closed
52	<u>Internal Audit</u> Payroll: Proceed with follow up plan in Jan / March. Returning to JIAC in June to provide assurance to the committee.	DH	29.11.22	Ongoing
53	<u>JIAC Forward Planner</u> DS to liaise with members to work with the forward planner and see which areas members would like to take further interest in. Site Visit to be organised in 2023	DS DS	29.11.22	Closed
54	<u>Risk Register and Business Continuity Overview</u> DS to amend the wording on risk 8586 to reduce the scope for misinterpretation.	DS	29.11.22	Closed
55	<u>Monitoring Officer Protocol Report</u> SD requested that the members consider the document and it be brought back to the June meeting for further discussion.	SD	29.11.23	
56	<u>Internal Audit: Fleet Management</u> Michael Porter to provide members with the internal audit submitted to the Executive Board to allow progress to be shared with members in relation to the Fleet Management Report.	MP	21.03.23	