

North Yorkshire Police
Record of Meeting



Meeting: Joint Independent Audit Committee
Time and date: 15:00 19th September 2023
Location: Hybrid Meeting
Chair: Stuart Green

Attendees:

Name	Role
Roman Pronyszyn	Member – Vice Chair
Michael Porter	PFCC's Chief Finance Officer 151
Mabs Hussain	Deputy Chief Constable
Clare Godfrey	Head of Finance
Donald Stone	Risk & Planning Manager
Caroline Blackburn	Governance and Assurance Lead
Alex Judge	Member
Simon Dennis	Chief Executive & Monitoring Officer
Dan Harrison	Internal Audit (RSM)
Heather Cook	Member
S Yapp	Personal Assistant to the Chief Officer Team

Apologies:

Name	Role
Lisa Stitt	Assistant Chief Officer
Lisa Winward	Chief Constable
Rebecca Barren	Head of BDA

Items and Decisions:

No.	Discussion	Outcome / Decision
717	<u>Attendance, Apologies and Welcome</u> Apologies were recorded Alex Judge was welcomed as a new member.	

No.	Discussion	Outcome / Decision
718	<p><u>Declaration of Interest</u> RP declared his declaration of interest for the records. RP declared an interest as a Parish Councillor in an area covered by North Yorkshire Police and Fire services</p>	
719	<p><u>Minutes of previous meeting</u> The minutes were approved for publication.</p>	
720	<p><u>Matters arising</u> There were no matters arising from the previous meeting that were not included on the agenda for this meeting. Supt Fi Willey provided an update on progress with the actions that were agreed in the exhibits management internal audit report to the members. It recognised that there were enhanced controls in place including assurance through performance reviews, and the roll out of revised Terms of Reference for the Exhibits Working Group. Whilst progress had been made there were some matters under active consideration as part of RSM’s follow up work and a fresh Internal Audit assignment on Firearms and Bladed Articles. The Chair asked for a note of thanks to be recorded and an invite be extended to a future meeting to provide a further progress update.</p>	
721	<p><u>Internal Audit</u></p> <ul style="list-style-type: none"> • Progress Report Member asked if retention could be added to the planned Internal Audit assignment on “Recruitment and Selection” as retention had been recognised as a risk for NYP on the Risk Register. DH to liaise with the audit team to determine if it could be added the scope of the audit and include in the progress report for the next meeting. • Emergency Services Sector Briefing No questions raised 	Action 58
722	<p><u>External Audit</u> Gavin provided an update on the current situation on NYP’s position. Unfortunately, Mazars are not in a position to issue the 20/21 certificate. <u>Audit Strategy Memorandum</u> A member stated that over the last few years Mazars have had staff and resourcing issues and asked whether they are still experiencing that problem. Gavin advised that yes, there are still challenges. Not just Mazars, but nationally. Gavin advised that Mazars would have to consider and reflect any “significant weaknesses” as identified during the work of HMICFRS for the relevant accounting periods. MH requested that where possible Mazars recognise the context around the arrangements that were put in place to ensure improvements were made to address those findings and that these have produced positive results. GB advised that unfortunately the real time work being done cannot be reflected until Mazars have the formal decision.</p>	

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	Chair request the timings and the contexts of the work that has been done be captured by Mazars. GB advised that the 'significant weakness' comments cannot be removed.	
723	<p><u>Audit Recommendations Tracker</u> Member requested further details. DS has offered to meet with new members to chat through the process.</p>	
724	<p><u>JIAC Annual Report</u> MP introduced the draft report that had been prepared on behalf of the Committee and as it was the Members' report suggested to contact him if any changes or comments were required, and he will capture them. Member asked for some clarity on the data breaches and onward reporting to ICO. Michael advised that he was not aware of any anomalies and suggested they may want this detail capturing in future reports.</p>	
725	<p><u>JIAC Forward Planner</u> Review of diaries for members to attend member's briefings. SD asked if a paper on devolution could be added to the forward planner, however dates cannot be determined at this point. No questions</p>	
726	<p><u>HMICFRS Reports & Recommendations Tracker</u> CB introduced the paper and highlighted the revised process that is now in place for Forces to close out HMICFRS Recommendations and Areas for Improvement. This allows for Forces to self-certify in some cases and that good progress was being made locally in that regard and is subject to appropriate Chief Officer oversight.</p>	
727	<p><u>Civil Claims Overview</u> MP discussed the report No questions were raised</p>	
728	<p><u>Information Assurance Report</u> Member asked if the staffing in the team that dealt with FOI was more consistent. The DCC advised that this is a challenging area to retain staff within and we do have some difficulty with consistency in performance when colleagues move on, when the team operates without vacancies performance is sustainable as demonstrated in recent month our performance is now hitting over 80% compliance.</p>	
729	<p><u>Risk Register and Business Continuity Overview</u> DS provided an overview of the report and referenced earlier conversations where relevant.</p>	
730	<p><u>Civil Claims Overview</u> MP discussed the report No questions were raised.</p>	

No.	Discussion	Outcome / Decision
731	AOB No issues raised.	
732	Date of future meeting 19th March 2024 18 th June 2024	
733	The next meeting of the JIAC will take place on Thursday 30 th November 2023 at 15.00 hrs.	

Actions Agreed:

No.	Action / Update	Owner	Date Issued	Date Closed
56	Internal Audit: Fleet Management Michael Porter to provide members with the internal audit report which he gave to the Executive Board, this to allow progress to be shared with members in relation to the Fleet Management Report. MP to provide an update in the November meeting	MP	21.03.2023	
57	Key Financial Controls: Accounts Payable Member shared concerns about the Tranman approval process. PC agreed he would check if the system had an audit log of users. 19.09.2023 Update was provided by Daniel	PC / DH	20.06.2023	Closed
58	Internal Audit Member asked if retention was a problem for NYP. DH to return with a response at the next meeting.	DH/ PC	19.09.2023	