

**AGENDA ITEM**

**INDEPENDENT AUDIT COMMITTEE**

**APRIL 2024**

**HMICFRS UPDATE**

**1. PURPOSE OF REPORT**

- 2.1 This report is to provide SLB with an update on the progress against our HMICFRS Action Plan.

**2. BACKGROUND**

- 2.1 The HMICFRS specific meeting was held on 7 March 2024, with good attendance from SRO's. The actions were reviewed against the causes of concern as well as the Area's for Improvement and the Values and Culture recommendations.

**3. PROGRESS**

- 3.1 The Head of Assurance and Inspection Lead gave an update on all CofC recommendations that are in the final stages of closure and recommended that CofC 2.1 Rec 2, CofC 3.2 Rec 1 and 4 can be closed fully. A comprehensive V&C update was provided and recommendation Rec 9 and 21 could be closed. Although evidence has been received for V&C Rec 27, 28 and 32 additional work is required. The evidence will be reviewed and uploaded to the online repository. Rec 22 requires further discussion at SLB. See Appendix A for our progress
- 3.2 The Head of Assurance has confidence that significant progress will be demonstrated as we move through 2024 with the proposed project plan in place.

**4. FUTURE ACTIVITY**

- 4.1 The Head of Assurance and Inspection Lead will commence our round 3 inspection process from January 2024 to March 2024. This will involve self-assessments completed against each of the HMICFRS questions and judgment criteria. This is where the wider improvement activity can be evidenced against the characteristics of good. We will look to cross-reference information from existing updates, our current evidence library, using questionnaires, audit, and sampling to gain further evidence. We will then be able to analyse the findings, gather further improvement updates and provide quality assurance of our current position to support the creation of the Strategic Briefing.
- 4.2 The project plan (Appendix B) was shown with a timeline of activity throughout 2024 for meetings with SRO's, reality testing, and departmental self-assessments as well as early Strategic Briefing conversations. This proposal of activity was agreed at the HMICFRS specific meeting.

## 5. RECOMMENDATION

5.1 Members to note the contents of the report

### Appendix A

#### Cause of Concern Actions

1 February 2024 2.1			3.2				
Rec 1 - Closed	Rec 2	Rec 3	Rec 1	Rec 2	Rec 3	Rec 4	Rec 5
1	1 Propose to close action	1	1	1	1	1	1
	2	2	2 Propose to close action	2	2	2	2
			3	3	3	3 Propose to close action	3
			4	4	4	4	4
			5 Propose to close action	5	5	5 Propose to close action	5
			6	6			
			7				
			8				
			9 Propose to close action				

#### Areas for Improvement

	1					2		3			
	1.1	1.2	1.3	1.4	1.5	2.1	2.2	3.1	3.2	3.3	3.4
	1.1 AFI 1	1.2 AFI 1	1.3 AFI 1	1.4 AFI 1	1.5 AFI 1	2.1 AFI 1	2.2 AFI 1	3.1 AFI 1	3.2 AFI 1	3.3 AFI 1	3.4 AFI 1
		1.2 AFI 2	1.3 AFI 2	1.4 AFI 2				3.1 AFI 2	3.2 AFI 2	3.3 AFI 2	3.4 AFI 2
			1.3 AFI 3							3.3 AFI 3	3.4 AFI 3
			1.3 AFI 4							3.3 AFI 4	3.4 AFI 4
Total	1	2	4	2	1	1	1	2	2	4	4

#### Values and Culture Recommendations

	Immediate Effect	01/06/2023	01/08/2023	01/09/2023	01/10/2023	01/01/2024	01/03/2024
	Rec 17	Rec 3	Rec 18	Rec 22 Update rec	Rec 1	Rec 9 Propose to close	Rec 12
	Rec 34	Rec 4	Rec 33		Rec 24		Rec 14
		Rec 5					
		Rec 20					
		Rec 21 Propose to Close					
		Rec 23					
		Rec 27 Update rec					
		Rec 28 Update rec					
		Rec 32 Update rec					
Total	2	9	2	1	2	1	2

Appendix B

### HMICFRS Timeline 2024 - 2025

