

AGENDA ITEM 8

JOINT INDEPENDENT AUDIT COMMITTEE

JUNE 2025

RISK REGISTER AND BUSINESS CONTINUITY OVERVIEW

1. PURPOSE OF REPORT

- 1.1 This paper provides the Joint Independent Audit Committee (JIAC) with a summary of activity pertaining to the “Corporate Risk Management” aspect of its terms of reference. JIAC are presented with an overview of developments on the Principal Risk Register (section 2) and an overview of business continuity management activity (section 3). These matters are routinely discussed in detail by management at the Risk, Assurance and Improvement Board (RAIB). The RAIB was previously called Risk and Assurance Board (RAB).

2. RISK REGISTER DEVELOPMENTS

Risk Management Update

- 2.1 This section of the report covers the period from 28 January 2025 to 24 March 2025. During the reporting period, reports on risk register activity were submitted to the April Strategic Oversight Board (previously called Executive Board) following the RAIB in March. The next RAIB is scheduled for 29 May 2025.

Principal Risk Register

- 2.2 The diagram in Appendix A sets out the position on the Principal Register at 24 March 2025.
- 2.3 A summary of the latest remarks for reviews undertaken in the reporting period is included in the table below. No risks have been escalated to the Principal Register during the reporting period.

2.4

8004: Partial or Total Loss of IT Service Provision which impacts on service to the public

Last Review Remarks:

Both data centres are now both able to run all the applications used by NYP so the total loss of a data centre would result in minor disruption in the magnitude of minutes for P1 systems, and hours for P2 and P3 systems.

The residual risk score remains GREEN

8628: Prevailing difficulties in the retention and recruitment of Detective roles impact on services to the public

Last Review Remarks:

The residual risk score remains AMBER and will be reviewed in September 2025 when the capability and experience of the Degree Holder Entry Pathway entrants will be appraised.

7581: Failure to ensure effective financial control and financial planning processes.**Last Review Remarks:**

Risk reviewed and no change to scoring or status based on the controls in place.

3. BUSINESS CONTINUITY MANAGEMENT

- 3.1 Throughout the reporting period Business Continuity (BC) activities have continued in line with the annual BC programme. The programme is adjusted as necessary to reflect organisational changes in order to ensure that NYP's identified critical functions are maintained during periods of change and/or disruption.
- 3.2 A summary of the number of BC incidents reported is included in the table below, with the additional information of the type of disruption that resulted from the incident:

	2024/25 Q2 (Apr-Jun)	2024/25 Q3 (Jul-Sept)	2024/25 Q4 (Oct – Dec)	2024/25 Q1 (Jan – Mar)	Total
Total Number of BC Incidents	7	5	8	9	29
• Loss of IT and communications	6	3	5	4	18
• Loss of Premises	1	2	3	4	10
• Dual IT / Premises				1	1
• Loss of Supplier					
• Loss of People					
• Loss of Information, Data or Evidence					
• Loss of Assets and Equipment					

• Severe weather					
Total Number of Force wide/ Priority 1 incidents	0	0	1	0	1

- 3.3 A Priority 1 incident was reported in Q4 of 2024 and the debrief report was provided in the closed section of the March JIAC. The report detailed 20 actions to ensure that lessons are learned and currently 19 of the actions are now complete.

4. RECOMMENDATIONS

- 4.1 The Committee are invited to consider the report and:
- Express any views on the Principal Risk Register content to feed into RAIB and Strategic Oversight Board
 - Express any views on the Business Continuity commentary contained in the report and / or any themes to explore by way of BC exercising
 - Indicate the level of assurance that can be drawn from the content.

Risk Manager (Inspection and Strategic Planning, Corporate Development)

Date: May 2025