NORTH YORKSHIRE
FIRE & RESCUE SERVICE

North Yorkshire Fire and Rescue Service (NYFRS) - Internal Audit Update Report

1. Overview

1.1. This document provides the Independent Audit Committee with a status update on the delivery of NYFRS's actions arising from Internal Audit inspections.

1.2. As of September 2025, 20 actions are 'live'. Of these:

- 12 are in progress. These actions will continue to be monitored by the Service, with regular updates provided by their owners through the Risk and Assurance Board.
- Eight are currently overdue. These are being closely monitored through the Risk and Assurance Board, with further detail provided at section two on the remaining work underway to ensure the actions are delivered in full.

Breakdown of all live actions

	Assignment	Actions Agreed				Total	Status
		L	M	H	Suggestion		
17/11/2022	Fleet Management		1			1	Partial Assurance
20/03/2024	Estates Management		1			1	Minimal Assurance
29/08/2024	Asset Management			4		4	Minimal Assurance
29/08/2024	Security Policy Framework		1			1	Minimal Assurance
11/11/2024	Misconduct	1	1			2	Reasonable Assurance
16/01/2025	Health and Safety			1		1	Partial Assurance
28/05/2025	Safeguarding	1	1			2	Reasonable Assurance
28/05/2025	Follow Up		3	1		4	Little Progress
29/08/2025	Credit Cards		1	1		2	Partial Assurance
09/09/2025	Code of Ethics	2				2	Substantial Assurance
		4	9	7	0	20	

1.3. There are also 30 'high' and 'medium' priority actions marked as closed, pending RSM follow up.

1.4. Since the previous Independent Audit Committee, four audit reports have been finalised and the management actions added to the tracker. These are the Safeguarding and Follow Up Audits (which were discussed at the previous meeting but were in draft at the time of papers being prepared), and the Credit Card and Code of Ethics Audits.

2. Overdue Actions

2.1. The table below shows the breakdown of overdue actions:

Breakdown of overdue actions							
	Assignment	Actions Agreed				Total	Status
		L	M	H	Suggestion		
20/03/2024	Estates Management		1			1	Minimal Assurance
29/08/2024	Asset Management			3		3	Minimal Assurance
29/08/2024	Security Policy Framework		1			1	Minimal Assurance
16/01/2025	Health and Safety			1		1	Partial Assurance
11/11/2024	Misconduct	1	1			2	Reasonable Assurance
		1	3	4	0	8	

2.2. Further detail on the progress against the delivery of these actions is included below:

2.2.1. Estates Management: the one overdue action relates to the facilities management contract. The Service is in the process of finalising the contract with a new supplier, and the action will be fully implemented once the new contract is in place.

2.2.2. Asset Management: Two of the overdue actions require system changes to the Asset Management System (AMS). Work is continuing with the supplier to implement the necessary changes. The final action relates to a review of end-of-life dates for equipment recorded on the AMS. Most of this work is complete, and the risk itself is significantly mitigated. A small number of non-safety-critical items continue to be reviewed through a 12-month programme, and the action remains open whilst this continues for completeness.

2.2.3. Security Policy Framework: the one overdue action is dependent on the completion of a vetting policy amendment, with the additional wording agreed and the final approval of the updated policy planned imminently.

2.2.4. Health and Safety: the one overdue action relates to a review of risk assessments and associated documentation. Work has been completed to update a core set of risk assessment documentation, and further work continues to consider a wider set of documents as part of a broader document review.

2.2.5. Misconduct: the two overdue actions align to a review of the discipline procedure, and associated processes around lower-level conduct cases, as well as the implementation of HMICFRS recommendations on handling misconduct cases. An initial review of the procedure has been completed, and this has confirmed that it is fit for purpose aligned to national standards. A new Senior HR and Professional Standards Partner role has been created following a recent support services review;

once recruited to, this role will be responsible for further work on documentation and guidance to complete the action.

3. Current and Planned Audit Activity

3.1. Planned upcoming 2025/26 audit activity is listed below:

Audit	Date
Operational Training	Audit complete, at debrief stage
Operational Fire Review: Prevent and Protection Engagement	Audit underway August 2025
Workforce Planning	Due to commence September 2025

Director of Service Design and Delivery
North Yorkshire Fire and Rescue Service
September 2025