

AGENDA ITEM 8

JOINT INDEPENDENT AUDIT COMMITTEE

SEPTEMBER 2025

RISK REGISTER AND BUSINESS CONTINUITY OVERVIEW

1. PURPOSE OF REPORT

1.1 This paper provides the Joint Independent Audit Committee (JIAC) with a summary of activity pertaining to the “Corporate Risk Management” aspect of its terms of reference. JIAC are presented with an overview of developments on the Principal Risk Register (section 2) and an overview of business continuity management activity (section 3). These matters are routinely discussed in detail by management at the Risk, Assurance and Improvement Board (RAIB). The RAIB was previously called Risk and Assurance Board (RAB).

2. RISK REGISTER DEVELOPMENTS

Risk Management Update

2.1 This section of the report covers the period from 25 March 2025 to 2 June 2025. During the reporting period, reports on risk register activity were submitted to the April, May and June Strategic Oversight Boards (SOB). (SOB was previously called Executive Board and is chaired by the Deputy Mayor). The next RAIB is scheduled for 25 September 2025.

Principal Risk Register

2.2 The diagram in Appendix A sets out the position on the Principal Register at 2 June 2025.

2.3 A summary of the latest remarks for reviews undertaken in the reporting period is included in the table below. No risks have been escalated to the Principal Register during the reporting period.

2.4

8004: Partial or Total Loss of IT Service Provision which impacts on service to the public

Last Review Remarks:

Risk reviewed and no change to scoring or status based on the controls in place. Both data centres have the ability to run all the applications used by NYP.

The residual risk score remains GREEN

8628: Prevailing difficulties in the retention and recruitment of Detective roles impact on services to the public

Last Review Remarks:

The residual risk score remains AMBER and will be reviewed in September 2025 when the capability and experience of the Degree Holder Entry Pathway entrants will be appraised.

7581: Failure to ensure effective financial control and financial planning processes.

Last Review Remarks:

Risk reviewed and no change to scoring or status based on the controls in place. The residual risk score remains AMBER.

3. BUSINESS CONTINUITY MANAGEMENT

3.1 Throughout the reporting period Business Continuity (BC) activities have continued in line with the annual BC programme. The programme is adjusted as necessary to reflect organisational changes in order to ensure that NYP's identified critical functions are maintained during periods of change and/or disruption.

3.2 A summary of the number of BC incidents reported is included in the table below, with the additional information of the type of disruption that resulted from the incident:

	2024/25 Q3 (Jul-Sept)	2024/25 Q4 (Oct – Dec)	2025/26 Q1 (Jan – Mar)	2025/26 Q2 (Apr-Jun)	Total
Total Number of BC Incidents	5	8	9	13	35
• Loss of IT and communications	3	5	4	10	22
• Loss of Premises	2	3	4	3	12
• Dual IT / Premises			1		1
• Loss of Supplier					
• Loss of People					
• Loss of Information, Data or Evidence					

• Loss of Assets and Equipment					
• Severe weather					
Total Number of Force wide/ Priority 1 incidents	0	1	0	0	1

3.3 A Priority 1 incident was reported in Q4 of 2024 and the debrief report was provided in the closed section of the March JIAC. The report detailed 20 actions to ensure that lessons are learned and currently 19 of the actions are now complete.

3.4 A Forcewide National Power Outage exercise will take place on 30 September, with the aim to validate North Yorkshire Police's Corporate BC plan for a National Plan Outage.

4. RECOMMENDATIONS

4.1 The Committee are invited to consider the report and:

- Express any views on the Principal Risk Register content to feed into RAIB and Strategic Oversight Board
- Express any views on the Business Continuity commentary contained in the report and / or any themes to explore by way of BC exercising
- Indicate the level of assurance that can be drawn from the content.

Risk Manager (Inspection and Strategic Planning, Corporate Development)

Date: September 2025