

**AGENDA ITEM 8**

**JOINT INDEPENDENT AUDIT COMMITTEE**

**DECEMBER 2025**

**RISK REGISTER AND BUSINESS CONTINUITY OVERVIEW**

**1. PURPOSE OF REPORT**

- 1.1 This paper provides the Joint Independent Audit Committee (JIAC) with a summary of activity pertaining to the “Corporate Risk Management” aspect of its terms of reference. JIAC are presented with an overview of developments on the Principal Risk Register (section 2) and an overview of business continuity management activity (section 3). These matters are routinely discussed in detail by management at the Risk, Assurance and Improvement Board (RAIB).

**2. RISK REGISTER DEVELOPMENTS**

**Risk Management Update**

- 2.1 This section of the report covers the period from 3 June 2025 to 13 November 2025. During this period, reports on risk register activity were submitted to the Strategic Oversight Boards (SOB) in June, July, September, October and November and RAIB in July, September and November. The next RAIB is scheduled for 19 January 2026.

**Principal Risk Register**

- 2.2 The diagram in Appendix A sets out the position on the Principal Register at 13 November 2025. In addition to the 3 existing risks on the PRR, 6 risks have been approved at RAIB to be escalated to the PRR.
- 2.4 A summary of the latest remarks for reviews undertaken in the reporting period is included in the table below.

**8004: Partial or Total Loss of IT Service Provision which impacts on service to the public**

Last Review Remarks:

Risk reviewed and no change to scoring or status based on the controls in place. Both data centres have the ability to run all the applications used by NYP.

The residual risk score remains GREEN

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**8628: Prevailing difficulties in the retention and recruitment of Detective roles impact on services to the public**

Last Review Remarks:

The residual risk score is GREEN and will be reviewed in January 2026 with consideration for closure based on the current numbers.

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**7581: Failure to ensure effective financial control and financial planning processes.**

Last Review Remarks:

Risk reviewed and no change to scoring or status based on the controls in place. The residual risk score remains AMBER.

Additional Risks Escalated to the PRR

**8477: PRR Limited supplies and price volatility of critical and key equipment and services**

Risk Review Remarks:

Risk reviewed and no change to scoring, or status based on the current controls in place. The residual risk score remains AMBER

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**8608: PRR Sustainability of the Medium Term Financial Forecast (MTFF) resulting in a need to consider alternative service delivery models**

Risk Review Remarks:

Risk reviewed and no change to scoring, or status based on the current controls in place. The residual risk score remains AMBER.

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**6645: PRR Subject Access Compliance**

Risk Review Remarks: Risk reviewed and remains AMBER based on the existing backlog (reported to JIAC in March 2025).

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**9692: PRR Misinformation & Disinformation: Impact & Influence on Policing**

Risk Review Remarks: A new risk was added to the PRR following RAIB. The residual risk score remains AMBER

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**9171: PRR Failure to Meet Government Carbon Reduction and Net Zero Targets by 2050**

Risk Review Remarks: The Force continue to implement the Sustainability Strategy and Roadmap. The residual risk score remains AMBER

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**9691: PRR Potential Cyber Attack on critical Infrastructure**

Risk Review Remarks: A new risk was added to the PRR following RAIB and the current cyber risk environment. Cyber will be reported as a standard agenda item at RAIB moving forward. The residual risk score remains AMBER

### 3. BUSINESS CONTINUITY MANAGEMENT

- 3.1 Throughout the reporting period Business Continuity (BC) activities have continued in line with the annual BC programme. The programme is adjusted as necessary to reflect organisational changes to ensure that NYP's identified critical functions are maintained during periods of change and/or disruption.
- 3.2 A summary of the number of BC incidents reported is included in the table below, with the additional information of the type of disruption that resulted from the incident:

	2024/25 Q4 (Oct – Dec)	2025/26 Q1 (Jan – Mar)	2025/26 Q2 (Apr-Jun)	2025/26 Q3 (Jul-Sept)	Total
<b>Total Number of BC Incidents</b>	8	9	13	9	39
• Loss of IT and communications	5	4	10	5	24
• Loss of Premises	3	4	3	3	13
• Dual IT / Premises		1			1
• Loss of Supplier					
• Loss of People					
• Loss of Information				1	1
• Loss of Assets and Equipment					
• Severe weather					
<b>Total Number of Force wide/ Priority 1 incidents</b>	1				1

- 3.3 A Priority 1 incident was reported in Q4 of 2024 and the debrief report was provided in the closed section of the March 2025 JIAC. The report detailed 20 actions to ensure that lessons are learned and currently 19 of the actions are now complete. RAIB will receive an update on the outstanding action in January 2026.
- 3.4 A Forcewide National Power Outage exercise took place on 30 September, with the aim to validate North Yorkshire Police's Corporate BC plan for a National Plan Outage. A report was provided to RAIB on 13<sup>th</sup> November detailing the actions identified during the exercise. RAIB will receive an update on the actions in January 2026.

### 4. RECOMMENDATIONS

- 4.1 The Committee are invited to consider the report and:
- Express any views on the Principal Risk Register content to feed into RAIB and Strategic Oversight Board
  - Express any views on the Business Continuity commentary contained in the report and / or any themes to explore by way of BC exercising
  - Indicate the level of assurance that can be drawn from the content.

**Risk Manager (Inspection and Strategic Planning, Corporate Development)**

**Date: November 2025**