



North Yorkshire Fire and Rescue Service (NYFRS) - Internal Audit Update Report

1. Overview

1.1. This document provides the Independent Audit Committee with a status update on the delivery of NYFRS’s actions arising from Internal Audit inspections.

1.2. As of March 2026, 11 actions are live. Of these:

- 6 are in progress. These actions will continue to be monitored by the Service, with regular updates provided by their owners through the Risk and Assurance Board.
- 5 are currently overdue. These are being closely monitored through the Risk and Assurance Board, with further detail provided at section two on the remaining work underway to ensure the actions are delivered in full.

Breakdown of all live actions							
	Assignment	Actions Agreed			Suggestion	Total	Status
		L	M	H			
29/08/2024	Asset Management			1		1	Minimal Assurance
29/08/2024	Security Policy Framework		1			1	Minimal Assurance
11/11/2024	Misconduct	1	1			2	Reasonable Assurance
16/01/2025	Health and Safety			1		1	Partial Assurance
28/05/2025	Follow Up		1	1		2	Little Progress
31/05/2025	Operational Training		1			1	Reasonable Assurance
17/02/2026	Workforce Planning	3				3	Reasonable Assurance
		4	4	3	0	11	

1.3. There are also 40 high and medium priority actions marked as closed, pending RSM follow up. 25 of these will be considered in the follow up audit, which is currently in progress.

1.4. Since the previous Independent Audit Committee, three audit reports have been finalised and the management actions (where received) added to the tracker. These are the Operational Training, Recommendations Tracking and Workforce Planning Audits.

2. Overdue Actions

2.1. The table below shows the breakdown of overdue actions:



Breakdown of overdue actions							
	Assignment	Actions Agreed				Total	Status
		L	M	H	Suggestion		
29/08/2024	Asset Management			1		1	Minimal Assurance
29/08/2024	Security Policy Framework		1			1	Minimal Assurance
16/01/2025	Health and Safety			1		1	Partial Assurance
11/11/2024	Misconduct	1	1			2	Reasonable Assurance
		1	2	2	0	5	

2.2. Further detail on the progress against the delivery of these actions is included below:

2.2.1. Asset Management: This action relates to a large-scale piece of work to replace the test cards previously used by the Service with Equipment Manuals. Significant progress has been made to date, with a prioritised system in place to work through the review and completion due by the end of Q1 26/27.

2.2.2. Security Policy Framework: the one overdue action is dependent on the completion of a DBS policy amendment, with the additional wording agreed and the final approval of the updated policy planned as soon as possible. There has been a delay due to the requirement for consultation with the Fire Brigade Union, which is still ongoing.

2.2.3. Health and Safety: the one overdue action relates to a review of risk assessments and associated documentation. Work has been completed to update a core set of risk assessment documentation, and a check and challenge discussion on this action has taken place. Further detail on the risk mitigations in place linked to the specific finding within the audit report is being collated ahead of a closure discussion at the next Risk and Assurance Board.

2.2.4. Misconduct: the two overdue actions align to a review of the discipline procedure, and associated processes around lower-level conduct cases, as well as the implementation of HMICFRS recommendations on handling misconduct cases. An initial review of the procedure has been completed, and this has confirmed that it is fit for purpose aligned to national standards. A new Senior HR and Professional Standards Partner role has been created following a recent support services review; this role will be responsible for further work on documentation and guidance to complete the action, but recruitment delays have meant the role is not yet filled.

3. Current and Planned Audit Activity

3.1. Planned upcoming 2025/26 audit activity is listed below:



NORTH YORKSHIRE FIRE & RESCUE SERVICE



Audit	Date
Fire and Rescue Plan	Debrief complete and draft report awaited
Operational Fire Review: Prevent and Protection Engagement	Report now in draft format and finalisation is imminent
Equality, Diversity and Inclusion	Commenced February 2026, with fieldwork nearing completion
Follow up	Underway, fieldwork due for completion end March 2026

Report Overview

North Yorkshire Fire and Rescue Service

Referred from Risk and Assurance Board

Charlie French, Director of Service Design and Delivery

March 2026

Progress. People. Community.