

AGENDA ITEM 8

JOINT INDEPENDENT AUDIT COMMITTEE

MARCH 2026

RISK REGISTER AND BUSINESS CONTINUITY OVERVIEW

1. PURPOSE OF REPORT

1.1 This paper provides the Joint Independent Audit Committee (JIAC) with a summary of activity pertaining to the “Corporate Risk Management” aspect of its terms of reference. JIAC are presented with an overview of developments on the Principal Risk Register (section 2) and an overview of business continuity management activity (section 3). These matters are routinely discussed in detail by management at the Risk, Assurance and Improvement Board (RAIB).

2. RISK REGISTER DEVELOPMENTS

Risk Management Update

2.1 This section of the report covers the period from 14 November 2025 to 16 March 2026. During this period, reports on risk register activity were submitted to the Strategic Oversight Boards (SOB) in January and February and RAIB in January and March. The next RAIB is scheduled for 18 May 2026.

Principal Risk Register

2.2 The diagram in Appendix A sets out the position on the Principal Register at 16 March 2026. Since the PRR was reported at the December JIAC, there has been 2 risks closed and 1 risk added following approval at RAIB. In total there are 8 risks on the PRR.

2.4 A summary of the latest remarks for reviews undertaken in the reporting period is included in the table below.

Risk	Update
8004: Partial or Total Loss of IT Service Provision which impacts on service to the public	Following RAIB in January and the progress made against the risk mitigations, the risk was approved for closure. Risk now closed.
8628: Prevailing difficulties in the retention and recruitment of Detective roles impact on services to the public	Following RAIB in January 2026 the risk was agreed for closure due to NYP’s current Detective numbers and removed from the PRR. Risk now closed.
7581: Failure to ensure effective financial control and financial planning processes.	Risk reviewed and no change to scoring or status based on the controls in place.

	The residual risk score remains AMBER.
8477: PRR Limited supplies and price volatility of critical and key equipment and services	Risk reviewed and no change to scoring, or status based on the current controls in place. The residual risk score remains AMBER
8608: PRR Sustainability of the Medium Term Financial Forecast (MTFF) resulting in a need to consider alternative service delivery models	Risk reviewed and no change to scoring, or status based on the current controls in place. The residual risk score remains AMBER.
6645: PRR Subject Access Compliance	Risk reviewed and remains AMBER based on the existing caseload.
9692: PRR Misinformation & Disinformation: Impact & Influence on Policing	Risk reviewed and following the release of the College of Policing APP the residual risk score remains AMBER
9171: PRR Failure to Meet Government Carbon Reduction and Net Zero Targets by 2050	Risk reviewed and the Force continue to implement the Sustainability Strategy and Roadmap. The residual risk score remains AMBER
9691: PRR Potential Cyber Attack on critical Infrastructure	Risk reviewed and the current residual risk score is now RED based on the current cyber environment.
9764: PRR - Police Reform White Paper 2026 - Changes to the Policing Landscape at a local, regional and national level	A new risk was added to the PRR following the announcement on 26 January 26 of the Police Reform White Paper 2026 and approved at RAIB in March 26.

3. BUSINESS CONTINUITY MANAGEMENT

- 3.1 Throughout the reporting period Business Continuity (BC) activities have continued in line with the annual BC programme. The programme is adjusted as necessary to reflect organisational changes to ensure that NYP’s identified critical functions are maintained during periods of change and/or disruption.
- 3.2 A summary of the number of BC incidents reported is included in the table below, with the additional information of the type of disruption that resulted from the incident:

	2025/26 Q1 (Apr-Jun)	2025/26 Q2 (Jul-Sept)	2025/26 Q3 (Oct-Dec)	2025/26 Q4 (Jan-Mar)	Total
Total Number of BC Incidents	13	9	15	10	47

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• Loss of IT and communications	10	5	9	7	31
• Loss of Premises	3	3	5	3	14
• Dual IT / Premises					
• Loss of Supplier					
• Loss of People			1		1
• Loss of Information		1			1
• Loss of Assets and Equipment					
• Severe weather					
Total Number of Force wide/ Priority 1 incidents					

3.3 Priority 1 incident was reported in Q3 of 2024 and the debrief report was provided in the closed section of the March 2025 JIAC. The report detailed 20 actions to ensure that lessons are learned and currently 19 of the actions are complete.

3.4 A Forcewide National Power Outage exercise took place on 30 September 2025, with the aim to validate North Yorkshire Police’s Corporate BC plan for a National Plan Outage. A report was provided to RAIB on 13th November 2025 detailing the actions identified during the exercise. Actions are progressing and being reported to RAIB.

3.5 Two Forcewide Cyber Exercises have been held:

- Operational Response Cyber Exercise on 9th December 2025. A report containing eight actions was provided to RAIB in January 2026.
- Support Departments Cyber Exercise on 20th February 2026. A report containing six actions was provided to RAIB in March 2026.

The progress on the actions identified from both exercises are reported to RAIB.

4. RECOMMENDATIONS

4.1 The Committee are invited to consider the report and:

- Express any views on the Principal Risk Register content to feed into RAIB and SOB

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- Express any views on the Business Continuity commentary contained in the report and / or any themes to explore by way of BC exercising
- Indicate the level of assurance that can be drawn from the content.

Risk Manager (Inspection and Strategic Planning, Corporate Development)

Date: March 2026