

AGENDA ITEM 9

JOINT INDEPENDENT AUDIT COMMITTEE

SEPTEMBER 2026

RISK REGISTER AND BUSINESS CONTINUITY OVERVIEW

1. PURPOSE OF REPORT

- 1.1 This paper provides the Joint Independent Audit Committee (JIAC) with a summary of activity pertaining to the “Corporate Risk Management” aspect of its terms of reference. JIAC are presented with an overview of developments on the Principal Risk Register (section 2) and an overview of business continuity management activity (section 3). These matters are routinely discussed in detail by management at the Risk, Assurance and Improvement Board (RAIB) and Strategic Oversight Board (SOB).

2. RISK REGISTER DEVELOPMENTS

Risk Management Update

- 2.1 This section of the report covers the period from 21 July 2026 to 14 September 2026. During this period, reports on risk register activity were submitted to the SOB in July and RAIB in July. The next RAIB is scheduled for 16 November 2026.

Principal Risk Register

- 2.2 The diagram in Appendix A sets out the position on the Principal Register at 14 September 2026. Since the PRR was reported at the June JIAC, there has been no new risks added. In total there are 8 risks on the PRR.
- 2.4 A summary of the latest remarks for reviews undertaken in the reporting period is included in the table below.

Risk	Update
7581: Failure to ensure effective financial control and financial planning processes.	Risk reviewed and no change to scoring or status based on the controls in place. The current risk score remains AMBER.
8477: PRR Limited supplies and price volatility of critical and key equipment and services	Risk reviewed and the current risk score remains RED based on the economic climate.
8608: PRR Sustainability of the Medium Term Financial Plan (MTFP) resulting in a need to consider alternative service delivery models	Risk reviewed and no change to scoring, or status based on the current controls in place. The current risk score remains AMBER.
6645: PRR Subject Access Compliance	Risk reviewed and remained AMBER based on the existing caseload.

	Ongoing review and monitoring
9692: PRR Misinformation & Disinformation: Impact & Influence on Policing	Risk reviewed and the current risk score remains AMBER
9171: PRR Failure to Meet Government Carbon Reduction and Net Zero Targets by 2050	Risk reviewed and the Force continue to implement the Sustainability Strategy and Roadmap. The current risk score remains AMBER Ongoing review and monitoring
9691: PRR Potential Cyber Attack on critical Infrastructure	Risk reviewed and the current risk score remains RED based on the current cyber environment. The risk continues to be closely monitored. The NYP Cyber Improvement Plan was reviewed at RAIB in September.
9764: PRR - Police Reform White Paper 2026 - Changes to the Policing Landscape at a local, regional and national level	The Police Reform White Paper 2026 continues to be monitored, and the current risk score remains AMBER.

3. BUSINESS CONTINUITY MANAGEMENT

- 3.1 Throughout the reporting period Business Continuity (BC) activities have continued in line with the annual BC programme. The programme is adjusted as necessary to reflect organisational changes to ensure that NYP's identified critical functions are maintained during periods of change and/or disruption.
- 3.2 A summary of the number of BC incidents reported over a 12-month period is included in the table below, with the additional information of the type of disruption that resulted from the incident:

	2025/26 Q2 (Jul-Sept)	2025/26 Q3 (Oct-Dec)	2025/26 Q4 (Jan-Mar)	2026/27 Q1 (Apr- Jun)	Total
Total Number of BC Incidents	9	15	10	10	44
• Loss of IT and communications	5	9	7	7	28
• Loss of Premises	3	5	3	2	13
• Dual IT / Premises	-	-	-	-	-
• Loss of Supplier	-	-	-	-	-
• Loss of People	-	1	-	-	1
• Loss of Information	1	-	-	-	1

• Loss of Assets and Equipment	-	-	-	1	1
• Severe weather	-	-	-	-	-
Total Number of Force wide/ Priority 1 incidents	-	-	-	-	-

- 3.3 A Priority 1 incident was reported in Q3 of 2024 and the debrief report was provided in the closed section of the March 2025 JIAC. The report detailed 20 actions to ensure that lessons are learned and currently 19 of the actions are complete.

4. RECOMMENDATIONS

- 4.1 The Committee are invited to consider the report and:
- Express any views on the Principal Risk Register content to feed into RAIB and SOB
 - Express any views on the Business Continuity commentary contained in the report and / or any themes to explore by way of BC exercising
 - Indicate the level of assurance that can be drawn from the content.

Risk Manager (Inspection and Strategic Planning, Corporate Development)

Date: September 2026